



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2008
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code	0707	0707	NAIC Company Code	95378	Employer's ID Number	36-3379945
	(Current Period)	(Prior Period)				
Organized under the Laws of	Illinois		State of Domicile or Port of Entry	Illinois		
Country of Domicile	United States					
Licensed as business type:	Life, Accident & Health []		Property/Casualty []	Hospital, Medical & Dental Service or Indemnity []		
	Dental Service Corporation []		Vision Service Corporation []	Health Maintenance Organization [X]		
	Other []		Is HMO, Federally Qualified? Yes [] No [X]			
Incorporated/Organized	08/05/1985		Commenced Business	12/19/1985		
Statutory Home Office	1300 River Drive		Moline, IL 61265			
	(Street and Number)		(City, State and Zip Code)			
Main Administrative Office	1300 River Drive		Moline, IL 61265		309-736-4600	
	(Street and Number)		(City or Town, State and Zip Code)		(Area Code) (Telephone Number)	
Mail Address	1300 River Drive, Suite 200		Moline, IL 61265			
	(Street and Number or P.O. Box)		(City or Town, State and Zip Code)			
Primary Location of Books and Records	1300 River Drive		Moline, IL 61265		309-757-6285	
	(Street and Number)		(City, State and Zip Code)		(Area Code) (Telephone Number)	
Internet Website Address	UHCRiverValley.com					
Statutory Statement Contact	Joan G. Mincer		309-757-6285			
	(Name)		(Area Code) (Telephone Number) (Extension)			
	Joan_G_Mincer@UHC.com		888-250-1769			
	(E-Mail Address)		(Fax Number)			

OFFICERS

Name	Title	Name	Title
Daniel Roger Kueter #	President	Robert Worth Oberrender #	Treasurer
Mary Lynn Stanislav #	Secretary		

OTHER OFFICERS

Bruce Chase Steffens M.D.	Chief Medical Officer		
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DIRECTORS OR TRUSTEES

William Kenneth Appelgate Ph.D.	Nyle Brent Cottingham #	James Edward Hecker	Cathie Sue Whiteside
Victoria Jean Kauzlarich	Daniel Roger Kueter #	Bruce Chase Steffens M.D.	Thomas Patrick Wiffler

State ofIllinois.....
County ofRock Island..... ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Daniel Roger Kueter President	Mary Lynn Stanislav Secretary
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Subscribed and sworn to before me this 17 day of October, 2008	a. Is this an original filing? Yes [X] No [] b. If no, 1. State the amendment number 2. Date filed 3. Number of pages attached
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Mary Ann Vickers, Executive Administrative Assistant
11/01/2011

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	248,552,885		248,552,885	236,382,934
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$2,992,794), cash equivalents (\$134,480,001) and short-term investments (\$42,478,256)	179,951,051		179,951,051	124,649,396
6. Contract loans, (including \$premium notes)			0	0
7. Other invested assets	0	0	0	0
8. Receivables for securities	1		1	0
9. Aggregate write-ins for invested assets	0	0	0	0
10. Subtotals, cash and invested assets (Lines 1 to 9)	428,503,937	0	428,503,937	361,032,330
11. Title plants less \$charged off (for Title insurers only)			0	0
12. Investment income due and accrued	2,713,522		2,713,522	2,643,048
13. Premiums and considerations:				
13.1 Uncollected premiums and agents' balances in the course of collection	8,076,782		8,076,782	11,341,100
13.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$earned but unbilled premiums)			0	0
13.3 Accrued retrospective premiums	4,897,851		4,897,851	4,820,668
14. Reinsurance:				
14.1 Amounts recoverable from reinsurers			0	467,076
14.2 Funds held by or deposited with reinsured companies			0	0
14.3 Other amounts receivable under reinsurance contracts			0	0
15. Amounts receivable relating to uninsured plans	5,444,251		5,444,251	1,301,736
16.1 Current federal and foreign income tax recoverable and interest thereon			0	5,738,617
16.2 Net deferred tax asset	55,036,350	49,235,186	5,801,164	6,650,641
17. Guaranty funds receivable or on deposit			0	0
18. Electronic data processing equipment and software			0	0
19. Furniture and equipment, including health care delivery assets (\$)			0	0
20. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
21. Receivables from parent, subsidiaries and affiliates			0	0
22. Health care (\$3,466,905) and other amounts receivable	4,899,604	1,432,699	3,466,905	0
23. Aggregate write-ins for other than invested assets	4,053,739	539,667	3,514,072	3,086,971
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 10 to 23)	513,626,036	51,207,552	462,418,485	397,082,187
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
26. Total (Lines 24 and 25)	513,626,036	51,207,552	462,418,485	397,082,187
DETAILS OF WRITE-INS				
0901.				
0902.				
0903.				
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0
0999. Totals (Lines 0901 through 0903 plus 0998)(Line 9 above)	0	0	0	0
2301. Other Assets.....	3,514,072		3,514,072	62,500
2302. Medicare Part D Receivable.....			0	2,899,471
2303. Prepaid Commision	539,667	539,667	0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	125,000
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	4,053,739	539,667	3,514,072	3,086,971

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	148,021,029	12,626,930	160,647,959	171,753,087
2. Accrued medical incentive pool and bonus amounts	.958,000		.958,000	.958,000
3. Unpaid claims adjustment expenses	1,884,863		1,884,863	1,483,483
4. Aggregate health policy reserves	2,857,037		2,857,037	3,640,823
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves	313,381		313,381	407,484
8. Premiums received in advance	9,080,577		9,080,577	6,842,159
9. General expenses due or accrued	11,316,226		11,316,226	9,903,307
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized gains (losses))	4,796,923		4,796,923	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	47,632,160		47,632,160	25,343,272
16. Payable for securities	1,963,477		1,963,477	0
17. Funds held under reinsurance treaties with (\$ authorized reinsurers and \$ unauthorized reinsurers)			0	0
18. Reinsurance in unauthorized companies			0	0
19. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
20. Liability for amounts held under uninsured plans	11,526,927		11,526,927	8,251,417
21. Aggregate write-ins for other liabilities (including \$0 current)	0	0	0	0
22. Total liabilities (Lines 1 to 21)	240,350,600	12,626,930	252,977,530	228,583,032
23. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
24. Common capital stock	XXX	XXX	610,000	610,000
25. Preferred capital stock	XXX	XXX		0
26. Gross paid in and contributed surplus	XXX	XXX	37,441,000	37,441,000
27. Surplus notes	XXX	XXX		0
28. Aggregate write-ins for other than special surplus funds	XXX	XXX	1,500,000	1,500,000
29. Unassigned funds (surplus)	XXX	XXX	169,889,957	128,948,155
30. Less treasury stock, at cost:				
30.1 shares common (value included in Line 24) \$)	XXX	XXX		0
30.2 shares preferred (value included in Line 25) \$)	XXX	XXX		0
31. Total capital and surplus (Lines 23 to 29 minus Line 30)	XXX	XXX	209,440,957	168,499,155
32. Total liabilities, capital and surplus (Lines 22 and 31)	XXX	XXX	462,418,487	397,082,187
DETAILS OF WRITE-INS				
2101.				
2102.				
2103.				
2198. Summary of remaining write-ins for Line 21 from overflow page	0	0	0	0
2199. Totals (Lines 2101 thru 2103 plus 2198) (Line 21 above)	0	0	0	0
2301.	XXX	XXX		
2302.	XXX	XXX		
2303.	XXX	XXX		
2398. Summary of remaining write-ins for Line 23 from overflow page	XXX	XXX	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	XXX	XXX	0	0
2801. Reserve and Restricted Funds	XXX	XXX	1,500,000	1,500,000
2802.	XXX	XXX		
2803.	XXX	XXX		
2898. Summary of remaining write-ins for Line 28 from overflow page	XXX	XXX	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX		2,515,056	3,536,903
2. Net premium income (including \$0 non-health premium income).....	XXX	1,025,861,485	799,311,530	1,110,200,134
3. Change in unearned premium reserves and reserve for rate credits	XXX		.0	.0
4. Fee-for-service (net of \$ medical expenses)	XXX		.0	.0
5. Risk revenue	XXX		.0	.0
6. Aggregate write-ins for other health care related revenues	XXX	.0	.0	.0
7. Aggregate write-ins for other non-health revenues	XXX	.0	.0	.0
8. Total revenues (Lines 2 to 7)	XXX	1,025,861,485	799,311,530	1,110,200,134
Hospital and Medical:				
9. Hospital/medical benefits	53,187,202	767,791,898	589,280,315	849,511,132
10. Other professional services		2,202,167	232,440	371,509
11. Outside referrals0	.0
12. Emergency room and out-of-area0	.0
13. Prescription drugs		69,578,477	62,252,954	79,882,866
14. Aggregate write-ins for other hospital and medical.....	.0	.0	.0	.0
15. Incentive pool, withhold adjustments and bonus amounts.....		278,830	(207,182)	386,516
16. Subtotal (Lines 9 to 15)	53,187,202	839,851,372	651,558,527	930,152,023
Less:				
17. Net reinsurance recoveries		368,281	137,516	612,280
18. Total hospital and medical (Lines 16 minus 17)	53,187,202	839,483,091	651,421,011	929,539,743
19. Non-health claims (net).....			.0	.0
20. Claims adjustment expenses, including \$ 3,476,159cost containment expenses.....		25,317,027	22,015,228	27,518,383
21. General administrative expenses.....		102,739,587	78,341,383	111,672,958
22. Increase in reserves for life and accident and health contracts including \$ increase in reserves for life only).....			.0	.0
23. Total underwriting deductions (Lines 18 through 22)	53,187,202	967,539,705	751,777,622	1,068,731,084
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	58,321,780	47,533,908	41,469,050
25. Net investment income earned		11,051,506	12,826,652	17,273,349
26. Net realized capital gains (losses) less capital gains tax of \$.....0		1,931,182	(116,849)	333,433
27. Net investment gains (losses) (Lines 25 plus 26)0	12,982,688	12,709,803	17,606,782
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)0	.0
29. Aggregate write-ins for other income or expenses0	.0	.0	.0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	71,304,468	60,243,711	59,075,832
31. Federal and foreign income taxes incurred	XXX	28,921,490	16,500,909	12,882,078
32. Net income (loss) (Lines 30 minus 31)	XXX	42,382,978	43,742,802	46,193,754
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	.0	.0	.0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	.0	.0	.0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page0	.0	.0	.0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	0	0
2901. Fines and Penalties - Regulatory Authorities.....			.0	.0
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page0	.0	.0	.0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year
CAPITAL AND SURPLUS ACCOUNT:			
33. Capital and surplus prior reporting year.....	168,499,155	157,938,400	157,938,400
34. Net income or (loss) from Line 32.....	42,382,978	43,742,802	46,193,754
35. Change in valuation basis of aggregate policy and claim reserves.....		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0		0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....		0	0
38. Change in net deferred income tax.....	(851,627)	58,450,693	57,427,197
39. Change in nonadmitted assets.....	463,003	(55,914,916)	(51,560,196)
40. Change in unauthorized reinsurance.....	0	0	0
41. Change in treasury stock.....		0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....		0	0
44. Capital Changes:			
44.1 Paid in.....		0	0
44.2 Transferred from surplus (Stock Dividend).....		0	0
44.3 Transferred to surplus.....		0	0
45. Surplus adjustments:			
45.1 Paid in.....		0	0
45.2 Transferred to capital (Stock Dividend).....0		0	0
45.3 Transferred from capital.....		0	0
46. Dividends to stockholders.....		(41,500,000)	(41,500,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	(1,052,553)	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	40,941,801	4,778,579	10,560,755
49. Capital and surplus end of reporting period (Line 33 plus 48)	209,440,956	162,716,979	168,499,155
DETAILS OF WRITE-INS			
4701. Corrections subsequent to issuance of the 2007 annual statement.....	(1,052,553)	0	0
4702.		0	0
4703.		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page.....0		0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	(1,052,553)	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year Ended December 31
Cash from Operations		
1. Premiums collected net of reinsurance.....	1,031,287,038	1,104,448,549
2. Net investment income	13,001,028	13,616,502
3. Miscellaneous income	0	0
4. Total (Lines 1 to 3)	1,044,288,066	1,118,065,051
5. Benefits and loss related payments	853,929,063	844,675,484
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	126,643,695	131,721,878
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	18,385,950	21,318,695
10. Total (Lines 5 through 9)	998,958,708	997,716,057
11. Net cash from operations (Line 4 minus Line 10)	45,329,358	120,348,994
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	181,507,474	99,927,655
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0
12.7 Miscellaneous proceeds	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	181,507,474	99,927,655
13. Cost of investments acquired (long-term only):		
13.1 Bonds	192,818,952	169,000,683
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	0	0
13.6 Miscellaneous applications	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	192,818,952	169,000,683
14. Net increase (or decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(11,311,478)	(69,073,028)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	0	41,500,000
16.6 Other cash provided (applied).....	21,283,775	(93,670,648)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	21,283,775	(135,170,648)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	55,301,655	(83,894,682)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year.....	124,649,396	208,544,078
19.2 End of period (Line 18 plus Line 19.1)	179,951,051	124,649,396

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION										
	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	336,916	.0	118,721	.0	.0	.0	.0	36,054	182,141	.0
2 First Quarter	332,614	.0	112,998	.0	.0	.0	.0	36,307	183,309	.0
3 Second Quarter	335,091	.0	114,816	.0	.0	.0	.0	38,570	181,705	.0
4. Third Quarter	334,023		113,123					40,636	180,264	
5. Current Year	.0									
6 Current Year Member Months	3,355,325		1,359,787					341,316	1,654,222	
Total Member Ambulatory Encounters for Period:										
7. Physician	1,528,215		433,292					366,960	727,963	
8. Non-Physician	217,100		70,275					34,226	112,599	
9. Total	1,745,315	.0	503,567	.0	.0	.0	.0	401,186	840,562	.0
10. Hospital Patient Days Incurred	228,520		23,884					95,381	109,255	
11. Number of Inpatient Admissions	37,366		5,506					9,883	21,977	
12. Health Premiums Written	1,026,312,498		272,910,652				9,898,106	292,613,569	450,890,171	
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	1,026,312,498		272,910,652				9,898,106	292,613,569	450,890,171	
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	836,764,352		226,533,850				10,540,878	236,005,609	363,684,015	
18. Amount Incurred for Provision of Health Care Services	839,851,372		221,015,227				11,189,422	229,590,500	378,056,223	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$292,613,569

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital & medical)	62,263,971	181,127,008	32,423	7,907,468	62,296,394	34,713,041
2. Medicare Supplement0	.0
3. Dental Only0	.0
4. Vision Only0	.0
5. Federal Employees Health Benefits Plan	1,514,002	5,257,378	1,309	1,490,601	1,515,311	1,327,772
6. Title XVIII - Medicare	67,067,379	169,248,934	875,874	37,813,145	67,943,253	45,262,292
7. Title XIX - Medicaid	108,783,377	255,141,443	2,770,008	110,070,512	111,553,385	90,857,466
8. Other Health0	.0
9. Health Subtotal (Lines 1 to 8).....	239,628,729	610,774,763	3,679,614	157,281,726	243,308,343	172,160,571
10. Healthcare receivables (a)0	.0
11. Other non-health0	.0
12. Medical incentive pools and bonus amounts	278,830			958,000	278,830	958,000
13. Totals	239,907,559	610,774,763	3,679,614	158,239,726	243,587,173	173,118,571

(a) Excludes \$0 loans and advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

NOTES TO THE ANNUAL STATEMENT

1. DESCRIPTION OF BUSINESS AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Description of Business – United Healthcare Plan of the River Valley, Inc. (the “Plan”) is a wholly-owned subsidiary of UnitedHealthcare Services Company of the River Valley, Inc. (“UHS-RV”). UHS-RV is a wholly owned subsidiary of UnitedHealthcare, Inc. (“UHC”), which is a wholly-owned subsidiary of UnitedHealthcare Services, Inc. (“UHS”). UHS is a wholly owned subsidiary of UnitedHealth Group, Inc. (“UHG”).

On December 6, 2005, Deere & Company entered into a stock purchase agreement with United Healthcare, Inc. for the sale of the John Deere Health Care, Inc. (“JDHC”) and its subsidiaries. Effective February 24, 2006, JDHC became a wholly owned subsidiary of United Healthcare, Inc.

The Plan was incorporated on August 5, 1985 as a Health Maintenance Organization (“HMO”). Operations commenced in July 1986. The Plan is certified as an HMO by the Illinois Department of Financial and Professional Regulation, Division of Insurance (“IDOI”), by the Iowa Department of Commerce-Division of Insurance, by the State of Tennessee Department of Commerce and Insurance and by the Commonwealth of Virginia Bureau of Insurance. The Plan has contracted with physicians, hospitals and other health care provider organizations to deliver health care services for all enrollees.

The Plan is designated as a Competitive Medical Plan and has contracts with the Department of Health and Human Services Centers for Medicare and Medicaid Services (“CMS”) to provide healthcare to Medicare qualified HMO enrollees. The Plan also participates as a managed care organization in the State of Tennessee’s Medicaid program, TennCare. See additional discussion at Note 7 regarding products in which the Plan participates.

The health care industry is subject to numerous laws and regulations of federal, state, and local governments. Compliance with these laws and regulations, specifically those relating to the Medicare and TennCare programs, can be subject to government review and interpretation, as well as regulatory actions unknown and unasserted at this time. Recently, federal government activity has increased with respect to investigations and allegations concerning possible violations by health care providers of regulations, which could result in the imposition of significant fines and penalties, as well as significant repayments of previously billed and collected revenues. Management believes that the Plan is in substantial compliance with current laws and regulations.

Significant Accounting Policies

The Plan prepares its statutory-basis financial statements in conformity with accounting practices prescribed or permitted by the Insurance Department of the State of Illinois (“statutory-basis”). The IDOI has adopted the statutory accounting principles and practices of the National Association of Insurance Commissioners (“NAIC”) *Accounting Practices and Procedures* Manual, subject to any deviations prescribed or permitted by the IDOI (“NAIC SAP”) which differ in certain respects from accounting principles generally accepted in the United States of America (“GAAP”). Major differences between GAAP and statutory-basis practices include the reporting of investments in accordance with Statement of Financial Accounting Standards No. 115 under GAAP and assets that are nonadmitted for statutory purposes.

Use of Estimates - The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates. Significant accounts subject to change in the near term include claims unpaid, provider risk sharing arrangements, and premium deficiency reserves.

Claims unpaid reflect management’s best current estimate of the cost of ultimate resolution of those claims incurred but not reported and/or paid as of the balance sheet date. However, additional facts and circumstances may develop which would affect the precision of the estimate of costs. Those facts and circumstances include, among other things, the ultimate

NOTES TO FINANCIAL STATEMENTS

extent and cost of resolving those claims, the resulting impact on risk sharing arrangements, and the amount of insurance recoveries.

Cash and Short Term Investments – Cash and short-Investments represent cash held by the Plan in disbursement accounts and money market instruments with a maturity of one year or less at the time of purchase. Claims and other payments are made from the disbursement accounts daily. Cash and short term investments are reported at cost which approximates market value. Cash overdrafts are a result of timing differences in funding disbursement accounts for claim payments.

Subsequent to February 26, 2006, cash and short-term investment investments also consist of the Plan's share of an investment pool sponsored and administered by UHS for the benefit of the UHS-owned health plans. The investment pool consists principally of investments with original maturities of less than one year with the average life of the individual investment being less than 60 days. The Plan's share of the pool represents an undivided ownership interest in the pool and is immediately convertible to cash at no cost or penalty. The pool is primarily invested in governmental obligations, commercial paper, certificates of deposit, and short-term agency notes and recorded at cost. Interest income from the pool accrues daily to participating members based on ownership percentage.

Bonds – Bonds include corporate bonds, government obligations, and municipal securities and are stated at amortized cost if they meet NAIC designation of one or two and are stated at the lower of amortized cost or and NAIC-determined market value if they meet and NAIC designation of three or higher. Amortization of bonds premium or discount is calculated using the constant-yield interest method. Bonds are valued and reported using market prices published by the NAIC Securities Valuation Office ("SVO") in accordance with the NAIC Valuations of Securities manual prepared by the SVO ("Valuations of Securities manual").

The Plan's investment policy limits investments in other residential mortgage-backed securities, including home equity and subprime mortgages, to 10% of total cash and invested assets, and total investments in mortgage-backed securities to 30% of total cash and invested assets. As of December 31, 2007, these investment holding have NAIC credit ratings of 1 and 2.

The Plan continually monitors the difference between the cost and estimated fair value of its other invested assets. If any of the Plan's other invested assets experience a decline in value that the Plan believes is other than temporary, the Plan records a realized loss in investment and other income in the statutory statements of operations. No such losses were incurred and recorded during the years ended December 31, 2007 and 2006.

Accounts Payable - Accounts payable includes a liability for guaranty fund and other assessments that represent funding mechanisms employed by states in which the Plan does business to provide funds to cover policy holder obligations of insolvent reporting entities and to subsidize certain losses of those insurers that cover individuals. As of December 31, 2007 and 2006, the Plan had \$4,704,000 and \$2,856,000, respectively, accrued for assessments related to the respective years.

Beginning in 2005, the Iowa Comprehensive Health Association annual assessments may be used to offset the premium tax liability to the extent of 20% of the amount of the assessment for each of the five calendar years following the year in which the assessment was paid. As of December 31, 2007 and 2006, the Plan has \$1,757,855 and \$660,837 of assessments to be offset against future premium tax liabilities, respectively.

Accounts payable also includes state income taxes payable.

Aggregate Write-Ins - Certain amounts are required under statutory-basis practices to be reported as aggregate write-ins. Included in the following captions are:

- **For other than invested assets** – Receivables related to government contracts.
- **For other liabilities** - Payables for cost contracts.
- **For other than special surplus funds** – State of Illinois mandatory contingency reserve of 2% of capitated revenue from provider risk arrangements, up to a maximum of \$1,500,000.
- **For other expenses** – Fines and penalties.
- **For other gains (losses) in surplus** – Changes in nonadmitted assets and accruals affecting net income.

NOTES TO FINANCIAL STATEMENTS

Revenues - Premium revenues are earned ratably over the terms of the contracts. Premiums billed and collected in advance are recorded as unearned premiums.

Medical and Hospital Expenses - Health care costs are accrued as services are rendered and include estimates for incurred but not reported claims.

Premium Deficiency Reserves - The Plan calculates and determines the need for a premium deficiency reserve based on contracts with remaining effective periods beyond December. The contracts are analyzed including remaining contractual premium compared to expected administrative costs, trended medical costs, and investment income. The analysis was done on stop loss and PPO business separately. No premium deficiency reserve was necessary as of December 31, 2007 and 2006.

Risk and Uncertainties - The Plan's business could be impacted by continuing price pressure on new and renewal business, the Plan's ability to effectively manage medical utilization and expenses, market competition, and federal and state legislation or governmental regulations of health insurers. Also, the Plan is subject to regulatory requirements as explained in Note 5.

Concentrations of Credit Risk - Financial instruments, which potentially subject the Plan to concentration of credit risk, consist principally of bonds and short-term investments. The Plan limits the amount of credit exposure by making investments of high credit quality.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

The 2007 audited financial statements for the Company included consideration for changes in federal and state income tax accruals. At the directive of the Illinois DOI, the 2007 statement will not be amended to incorporate these changes. The adjustment to net income, net of tax of \$(3,048,843) has been included on the 2008 quarterly statement as an aggregate write in for gains (losses) to surplus.

3. BUSINESS COMBINATIONS

None.

4. DISCONTINUED OPERATIONS

None.

5. INVESTMENTS

At December 31, 2007 and 2006, certain long-term investments included in Bonds in the Statements of Admitted Assets, Liabilities, Capital and Surplus – Statutory Basis totaling \$20,576,956 and \$13,294,734 respectively, were held on deposit with trustees as required by Illinois, Tennessee, and Virginia insurance regulations.

Mortgage Loans—Not applicable.

Debt Restructuring-- Not applicable.

Reverse Mortgages—Not applicable.

Loan-Backed Securities – Loan-backed securities are carried at an amortized cost basis and are included in Bonds in the Statements of Admitted Assets, Liabilities, Capital and Surplus – Statutory-Basis.

For purposes of calculating the realized gains and losses on sales of investments, the amortized cost of each investment sold is used. The realized gains and losses are included in the net investment income earned in the statements of revenue and expenses – statutory basis.

Repurchase Agreements—Not applicable.

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

None.

7. INVESTMENT INCOME

The plan had no nonadmitted investment income due and accrued at December 31, 2007 and 2006.

8. DERIVATIVE INSTRUMENTS

None.

NOTES TO FINANCIAL STATEMENTS

9. INCOME TAXES

The Plan's operations are included in the consolidated federal income tax return of UHG. Federal income taxes are paid to or refunded by UHG pursuant to the terms of a tax-sharing agreement, approved by the Board of Directors, under which taxes approximate the amount that would have been computed on a separate company basis. Income taxes incurred in the current and prior years will be available for recoupment by the Plan only in the event of future net losses of consolidated UHG. The Plan receives a benefit at the federal rate in the current year for net losses incurred in that year to the extent the losses can be utilized in the consolidated federal income tax return of UHG. The statutory basis financial statements, prepared in accordance with NAIC SAP instructions, require that the provision for state income taxes of \$264,670 in 2007 and \$195,561 in 2006 be included in general administrative expenses on the statutory statement of operations.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES

Administrative services including claims processing, broker fees, marketing, quality assurance, financial, accounting, insurance, legal, and data processing, are provided to the Plan by its parent. Under the terms of agreements between the Plan and the parent, and approved by the IDOI, the parent charges the Plan for such services based on a percentage of premiums for each line business. Expenses charged to the Plan by the parent for the years ended December 31, 2007 and 2006 were \$112,324,183 and \$80,992,399, respectively, and are reported as claims adjustment expenses and general administrative expenses.

At December 31, 2007 and 2006, the Plan reported \$26,346,784 and \$122,374,113 respectively, as a related party payable, which is included in the statements of admitted assets, liabilities, capital and surplus – statutory basis. Any balances are generally settled within 90 days from the incurred date.

The Plan has a contract with United Behavioral Health, a wholly owned subsidiary of UHS, to provide mental health and substance abuse services to its enrollees. Fees related to this agreement which are calculated on a per member per month basis, of \$6,852,279 2007 and \$4,716,616 from February 24, 2006 through December 31, 2006 are included in medical services expenses in the accompanying statutory statements of revenue and expenses – statutory basis.

The Plan contracts with United Resource Network, a division of UHS, to provide access to a network of transplant providers for its enrollees. Fees related to this agreement which are calculated on a per member per month basis, of \$371,509 in 2007 and \$137,759 from February 24, 2006 through December 31, 2006 are included in medical services expenses in the accompanying statutory statements of revenue and expenses – statutory basis.

The Plan has an agreement with OPTUM, a division of UHS, to provide a 24-hour call-in service, called Care24, to its enrollees. Fees related to this agreement, which are calculated on a per member per month basis, of \$1,196,539 in 2007 and \$2,837,606 from February 24, 2006 through December 31, 2006 are included in medical services expenses in the accompanying statutory statements of revenue and expenses – statutory basis.

Effective January 1, 2007, the Company has a contract with ACN Group, Inc., a wholly owned subsidiary of UHS, to provide chiropractic and physical therapy services for its enrollees. Fees related to this agreement which are calculated on a per member per month basis, of \$49,373 in 2007 and are included in medical services expenses in the accompanying statutory statements of revenue and expenses – statutory basis.

The Plan has an insolvency-only reinsurance agreement with United Healthcare Insurance Company ("UHIC"), a wholly owned subsidiary of UHIC Holdings, Inc., which is a wholly owned subsidiary of UHS, to provide insolvency protection for its enrollees. Reinsurance premiums which are calculated on a percentage of member premium revenues, of \$611,933 in 2007 and \$583,834 from February 24, 2006 through December 31, 2006 are netted against premium revenues in the accompanying statutory statement of revenue and expenses – statutory basis. Reinsurance recoveries of \$612,280 in 2007 and \$595,213 from February 24, 2006 through December 31, 2006 are netted against medical services expenses in the accompanying statutory statements of revenue and expenses – statutory basis. There was \$476,076 of reinsurance receivables related

NOTES TO FINANCIAL STATEMENTS

to this agreement at December 31, 2007. There were no reinsurance receivables related to this agreement at December 31, 2006. Reinsurance contracts do not relieve the company from its obligations to policyholders. Failure of reinsurers to honor their obligations could result in losses to the Plan.

The Plan also has contracts with Spectera, Inc., a wholly owned subsidiary of UHS, to provide vision services for its enrollees.

The Plan also has contracts with Dental Benefit Providers, Inc., a wholly owned subsidiary of UHS, to provide dental services for its enrollees.

The Plan also has contracts with Ingenix, Inc., a wholly owned subsidiary of UHS, to provide claims recovery services.

The Plan had third-party contracts with United Behavioral Health, United Resource Network, OPTUM and UHIC prior to the acquisition.

11. DEBT

None.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

None.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

Capital stock consists of common stock at stated value with no par value and no stated dividend rate. One thousand shares are authorized; ten shares are issued and outstanding. The Plan may make an ordinary dividend payment to its stockholder in an amount not to exceed the greater of ten percent of the Plan's capital and surplus or the Plan's net income for the previous year. On September 17, 2007 the Plan paid an ordinary dividend of \$41,500,000 to its stockholder, UnitedHealthcare Services Company of the River Valley, Inc. No dividend was paid in 2006. When considering a dividend in 2008, the maximum for an ordinary dividend is the Plan's net income in 2007.

Unassigned surplus was decreased by the change in nonadmitted asset values of \$1,845,284 and \$52,384,843 as of December 31, 2007 and 2006, respectively.

The Plan is required to provide a contingency reserve based on 2% of the net capitation revenue from risk contracts limited to \$1,500,000. The Plan's accumulated reserve reached \$1,500,000 during 1989 and such reserve has been recorded as a part of capital and surplus.

Additionally, there are minimum capital and surplus requirements relating to risk based capital of \$72,580,658 and \$45,088,628 and to providing point of service products of \$108,870,987 and \$67,633,140 at December 31, 2007 and 2006, respectively. The actual capital and surplus as of December 31, 2007 and 2006 was \$167,446,602 and \$166,612,036, respectively.

14. CONTINGENCIES

Contingent Commitments—Not applicable.

Assessments- Accounts payable includes a liability for guaranty fund and other assessments that represent funding mechanisms employed by states in which the Plan does business to provide funds to cover policy holder obligations of insolvent reporting entities and to subsidize certain losses of those insurers that cover individuals. As of December 31, 2007 and 2006, the Plan had \$4,704,000 and \$2,856,000, respectively, accrued for assessments related to the respective years.

Beginning in 2005, the Iowa Comprehensive Health Association annual assessments may be used to offset the premium tax liability to the extent of 20% of the amount of the assessment for each of the five calendar years following the year in which the assessment was paid. As of December 31,

NOTES TO FINANCIAL STATEMENTS

2007 and 2006, the Plan has \$1,757,855 and \$660,837 of assessments to be offset against future premium tax liabilities, respectively.

Gain Contingencies—None.

All Other Contingencies - In the normal course of business, the Plan, from time to time, may become involved in litigation incidental to the business. The Plan believes that it has substantial defenses and intends to defend such actions vigorously. Although it is not possible to predict the outcome of any unresolved legal matters, the Plan does not believe that such matters will have a material adverse effect on its financial position or results of operations.

15. LEASES

None.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

None.

17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENT OF LIABILITIES

None.

c.) No wash sales.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED A&H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

Administration Services Only (ASO)— TennCare - The Plan participates as a contracted managed care organization ("MCO") in the TennCare program. TennCare is a project authorized through a conditional waiver by CMS that replaced the State of Tennessee's Medicaid program on January 1, 1994. Uninsured individuals and Medicaid eligible individuals who enroll in the TennCare program receive prepaid health care through participating MCO's.

The Plan received \$12,681,304 and \$10,870,773 in administrative service revenue in 2007 and 2006 respectively, under this arrangement, which is recognized as a reduction of general administrative expenses. Total membership at December 31, 2007 and 2006 under the ASO arrangement is 85,130 and 78,190, respectively.

There was no net gain or loss from the ASO arrangement in 2007 and 2006. The claim payment volume for 2007 and 2006 under the ASO arrangement totaled \$156,870,828 and \$157,413,710, respectively.

Administration Service Contracts (ASC)—None.

Medicare - Revenues are based on a budgeted amount reimbursed monthly by CMS and then adjusted to actual based on a year-end cost report. CMS has the option to audit the cost reports filed by the Plan and thus adjustments to recorded amounts may occur based on these audits. All cost reports for 2003 and prior have been settled with CMS.

The Plan operated under a Medicare Advantage contract in all states in 2006 and 2005. Under the contracts, CMS makes a single monthly capitation payment to the Plan for each of its enrollees. The Plan provides services through an organized delivery system of contracted physicians, hospitals, and ancillary providers.

Medicare premium revenue, including Medicare Part D, recognized for the years ended December 31, 2007 and 2006, was \$310,047,106 and \$276,966,561, respectively.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

None.

20. OTHER ITEMS

A. *Extraordinary Items*—None.

B. *Troubled Debt Restructuring (Debtors)*—None.

C. *Other Disclosures*—None.

D. *Uncollectible premium balances*—None.

NOTES TO FINANCIAL STATEMENTS

- E. Business Interruption Insurance Recoveries—None.
- F. State Transferable Tax Credits—None.
- G. Deposits Under Section 6603 of the IRC - None.
- H. Hybrid Securities - None.
- I. Subprime Mortgage Related Risk Exposure – None.

21. SUBSEQUENT EVENTS

	Net admitted assets as of 9/30/2008	Adjustments October 2008 Activity			Adj Value of remaining assets as of 10/31/2008
		Sales	Realized G/L	Unreal G/L	
Bonds	425,511,142	(24,585,052)	44,733	0	400,970,824
Stocks	0	0	0	0	0
Mortgage Loans	0	0	0	0	0
Other	0	0	0	0	0
Total	<u>425,511,142</u>	<u>(24,585,052)</u>	<u>44,733</u>	<u>0</u>	<u>400,970,824</u>

Total Capital and Surplus reported on quarterly statement. (Health: page 3, line 31; P & C: page 3, line 35; Life: page 3, line 38; Title: page 3, line 30) (3) **\$209,440,956**

Change in 9/30/08 surplus as a result of realized and unrealized gains/(losses) occurring October 2008 related to assets owned as of 9/30/08. ((1) + (2)) (4) **\$0**

Total Capital and Surplus restated for investment fluctuations during October 2008 related to assets owned as of 9/30/08. ((3) + (4)) **\$209,485,689**

Percentage change in 9/30/08 surplus resulting from October 2008 realized and unrealized gains/losses on assets owned as of 9/30/08. ((4) / (3)) **0.0%**

22. REINSURANCE

Ceded Reinsurance Report – Section 1- General Interrogatories- 1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Response: **NO**

2. Have any policies issued by the company been reinsured with a company chartered in a country other than the United States that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person no primarily engaged in the insurance business? Response: **NO**

Section 2- 1. Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit? Response: **NO**

Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Response: **NO** Section 3- 1. What in the estimated amount of the aggregate reduction in surplus (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of payment or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Response: **NONE**

2. Have any new agreements been executed or existing agreements amended since January 1, 2004 to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement? Response: **NO**

Uncollectible Reinsurance- None.

Communication of Ceded Reinsurance- None.

Other Disclosures

Gross reinsurance expenses, included within premium revenues, for the years ended December 31, 2007 and 2006 were \$611,933 and \$691,117, respectively.

NOTES TO FINANCIAL STATEMENTS

Reinsurance recoveries, which are recorded as net reinsurance recoveries, for the years ended December 31, 2007 and 2006 were \$612,280 and \$595,128, respectively. There were no reinsurance receivables or payables as of December 31, 2007 and 2006.

23. RETROSPECTIVELY RATED CONTRACTS

Federal Employees Health Benefits Program - On January 1, 2005, the Plan entered into a retrospectively rated contract with the Federal Employees Health Benefits Program ("FEHBP"). A retrospective premium adjustment was calculated by comparing the earned premium to the estimated incurred medical, administrative, and service fee expenses. These incurred expenses included paid and unpaid expenses. The incurred but not reported ("IBNR") expenses were estimated using the actuarial methods described in Note 9. In 2007 and 2006, the Plan recorded a retrospective premium adjustment and a corresponding liability of \$3,640,823 and \$2,025,773, respectively, which is included in aggregate health policy reserves in the Statement of Admitted Assets, Liabilities, Capital and Surplus – Statutory-Basis. The net premium written in this retrospectively rated contract for 2007 and 2006 was \$13,444,384 and \$13,102,507, respectively.

24. CHANGE IN INCURRED CLAIMS AND CLAIM ADJUSTMENT EXPENSES

Unpaid claims liability is developed using actuarial methods. History of actual claim payments is plotted on a matrix with incurred periods along the X-axis and payment months along the Y-axis. Estimated monthly claim behavior is developed from this data. Enrollment for each month is monitored to determine potential impact on claims incurred. Additional relevant information is evaluated to support unpaid claim liability calculations such as the number of claim opportunity days each month, the number of claim processing days each month, claim processing production statistics, claim volume received statistics, significant claims known, reinsurance, coordinated benefits, subrogation and other recoveries, implementation of new health care cost management programs, and any other information that may arise.

Using the above data, the current period estimate is developed. The expense per member per month is tested against historical trends and additional relevant information. Prior period unpaid claims estimates are evaluated using the lagged claim data. The liability has not been calculated using any special consideration for toxic waste cleanup, asbestos-related illnesses or other environmental remediation exposures as management does not believe that any significant exposure exists. An external actuary also certifies the Plan's reserves at December 31 of each year.

Unpaid claims and accrued medical incentive pool liability balances as of December 31, 2007 and 2006 were \$172,711,087 and \$88,651,659, respectively.

Total incurred claims above include out-of-period adjustments relating to changes in estimates for claims unpaid and provider risk sharing arrangements.

The majority of amounts withheld relating to provider risk sharing arrangements are accounted for in claims unpaid on the Statements of Admitted Assets, Liabilities, Capital and Surplus – Statutory-Basis. The remaining components of the provider risk sharing arrangements are in the accrued medical incentive pool and health care receivables. The impact of provider risk sharing arrangements is reflected as incentive pool and withhold adjustments in the Statements of Revenue and Expenses – Statutory-Basis, and is included in current year incurred claims above.

The liability for claims unpaid at December 31, 2005 exceeded actual claims paid in 2006 related to prior years by approximately \$7,500,000. This favorable result was reduced by provider risk sharing adjustments relating to years 2005 and prior of approximately \$52,000. Such risk sharing adjustments are included in prior year incurred claims above.

The liability for claims unpaid at December 31, 2006 exceeded actual claims paid in 2007 related to prior years by approximately \$6,600,000. This favorable result was offset by provider risk sharing adjustments relating to years 2006 and prior of approximately \$167,000. Such risk sharing adjustments are included in prior year incurred claims above.

NOTES TO FINANCIAL STATEMENTS

The Plan incurred claims adjustment expense of approximately \$27,552,172 and \$15,490,350 in 2007 and 2006, respectively. These costs are included in the management service fees paid by the pLan to UHS-RV as a part of its management agreement (see Note 4).

25.INTERCOMPANY POOLING ARRANGEMENTS

None.

26.STRUCTURED SETTLEMENTS

Not applicable.

27.HEALTHCARE RECEIVABLES

The risk sharing receivables were nonadmitted.

28.PARTICIPATING POLICIES

None.

29.PREMIUM DEFICIENCY RESERVES

The Plan actuarially calculates and determines the need for a premium deficiency reserve based on contracts with remaining effective periods beyond December. The contracts are analyzed including remaining contractual premium compared to expected administrative costs, trended medical costs, investment income, and incentive pool and withhold adjustments. The analysis is done using large group business combined, small group business combined, and Hawk-I. No premium deficiency reserve was necessary as of December 31, 2007 and 2006.

30.ANTICIPATED SALVAGE AND SUBROGATION

None.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.)

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [X] [☐]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [X] [☐]
- 2.2

If yes, date of change:

If not previously filed, furnish herewith a certified copy of the instrument as amended.
3.

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] [☐] No [☐]

If yes, complete the Schedule Y - Part 1 - organizational chart.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [X] [☐]
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [☐] No [X] [☐] NA [☐]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2002
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2002
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

04/23/2004
- 6.4

By what department or departments?
Illinois Department of Insurance.....
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] [☐] No [☐] NA [☐]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?.....

Yes [X] [☐] No [☐] NA [☐]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?.....

Yes [☐] No [X] [☐]
- 7.2

If yes, give full information:
.....
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?.....

Yes [☐] No [X] [☐]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?.....

Yes [X] [☐] No [☐]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6	7
Affiliate Name	Location (City, State)	FRB	OCC	OTS	FDIC	SEC
OptumHealth Bank Inc.....	Salt Lake City, Utah.....	No.....	No.....	No.....	Yes.....	No.....

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?.....

Yes ☒ No ☐
- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?.....

Yes ☐ No ☒
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?.....

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes ☐ No ☒
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:

N/A.....
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes ☐ No ☐

If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 3, III Conducting Examinations, G - Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank.....	801 Pennsylvania, Kansas City,.....

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes in the custodian(s) identified in 16.1 during the current quarter?

Yes [] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
113972.....	Standish Mellon Asset Management Company.....	One Boston Place, Suite 024-0344, Boston MA....02108.....

17.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed?

Yes [X] No []

17.2 If no, list exceptions:

.....

SCHEDULE S - CEDED REINSURANCE

[illegible]

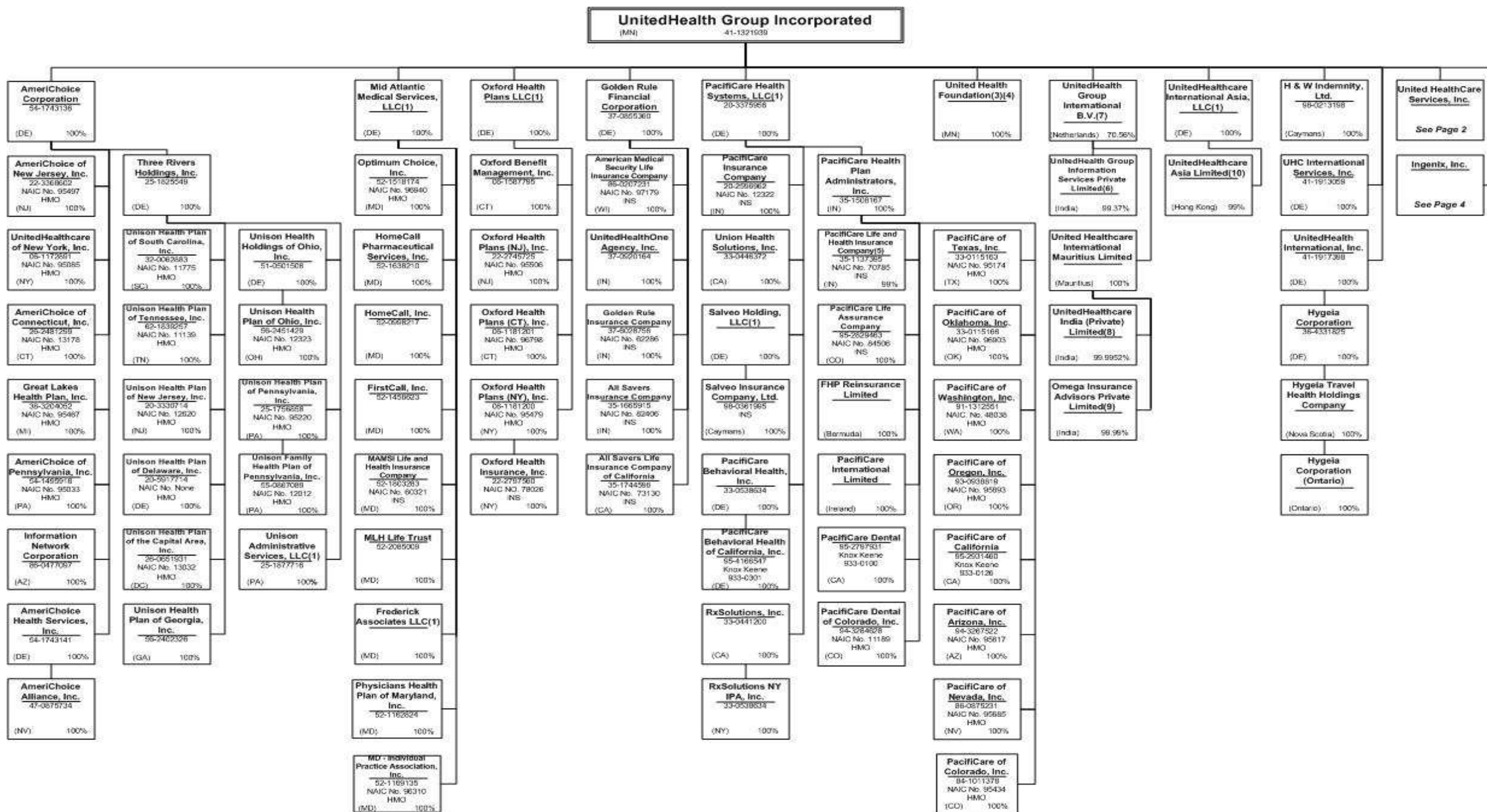
SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories										
		1	Direct Business Only							
			2	3	4	5	6	7	8	9
States, Etc.		Active Status	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefit Program Premiums	Life & Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama	AL								.0	
2. Alaska	AK								.0	
3. Arizona	AZ								.0	
4. Arkansas	AR								.0	
5. California	CA								.0	
6. Colorado	CO								.0	
7. Connecticut	CT								.0	
8. Delaware	DE								.0	
9. District of Columbia	DC								.0	
10. Florida	FL								.0	
11. Georgia	GA								.0	
12. Hawaii	HI								.0	
13. Idaho	ID								.0	
14. Illinois	IL	L	.33,537,735						.33,537,735	
15. Indiana	IN								.0	
16. Iowa	IA	L	133,074,805	.20,561,449		9,898,106			163,534,360	
17. Kansas	KS								.0	
18. Kentucky	KY								.0	
19. Louisiana	LA								.0	
20. Maine	ME								.0	
21. Maryland	MD								.0	
22. Massachusetts	MA								.0	
23. Michigan	MI								.0	
24. Minnesota	MN								.0	
25. Mississippi	MS								.0	
26. Missouri	MO								.0	
27. Montana	MT								.0	
28. Nebraska	NE								.0	
29. Nevada	NV								.0	
30. New Hampshire	NH								.0	
31. New Jersey	NJ								.0	
32. New Mexico	NM								.0	
33. New York	NY								.0	
34. North Carolina	NC								.0	
35. North Dakota	ND								.0	
36. Ohio	OH								.0	
37. Oklahoma	OK								.0	
38. Oregon	OR								.0	
39. Pennsylvania	PA								.0	
40. Rhode Island	RI								.0	
41. South Carolina	SC								.0	
42. South Dakota	SD								.0	
43. Tennessee	TN	L	.83,502,899	.271,816,767	.450,890,171				.806,209,837	
44. Texas	TX								.0	
45. Utah	UT								.0	
46. Vermont	VT								.0	
47. Virginia	VA	L	.22,795,213	.235,352					.23,030,565	
48. Washington	WA								.0	
49. West Virginia	WV								.0	
50. Wisconsin	WI								.0	
51. Wyoming	WY								.0	
52. American Samoa	AS								.0	
53. Guam	GU								.0	
54. Puerto Rico	PR								.0	
55. U.S. Virgin Islands	VI								.0	
56. Northern Mariana Islands	MP								.0	
57. Canada	CN								.0	
58. Aggregate Other Alien	OT	XXX	.0	.0	.0	.0	.0	.0	.0	.0
59. Subtotal		XXX	.272,910,652	.292,613,568	.450,890,171	.9,898,106	.0	.0	1,026,312,497	.0
60. Reporting entity contributions for Employee Benefit Plans		XXX							.0	
61. Total (Direct Business)	(a)	4	.272,910,652	.292,613,568	.450,890,171	.9,898,106	0	0	1,026,312,497	0
DETAILS OF WRITE-INS										
5801.		XXX								
5802.		XXX								
5803.		XXX								
5898. Summary of remaining write-ins for Line 58 from overflow page		XXX	.0	.0	.0	.0	.0	.0	.0	.0
5899. Totals (Lines 5801 through 5803 plus 5898) (Line 58 above)		XXX	0	0	0	0	0	0	0	0

(a) Insert the number of L responses except for Canada and other Alien.

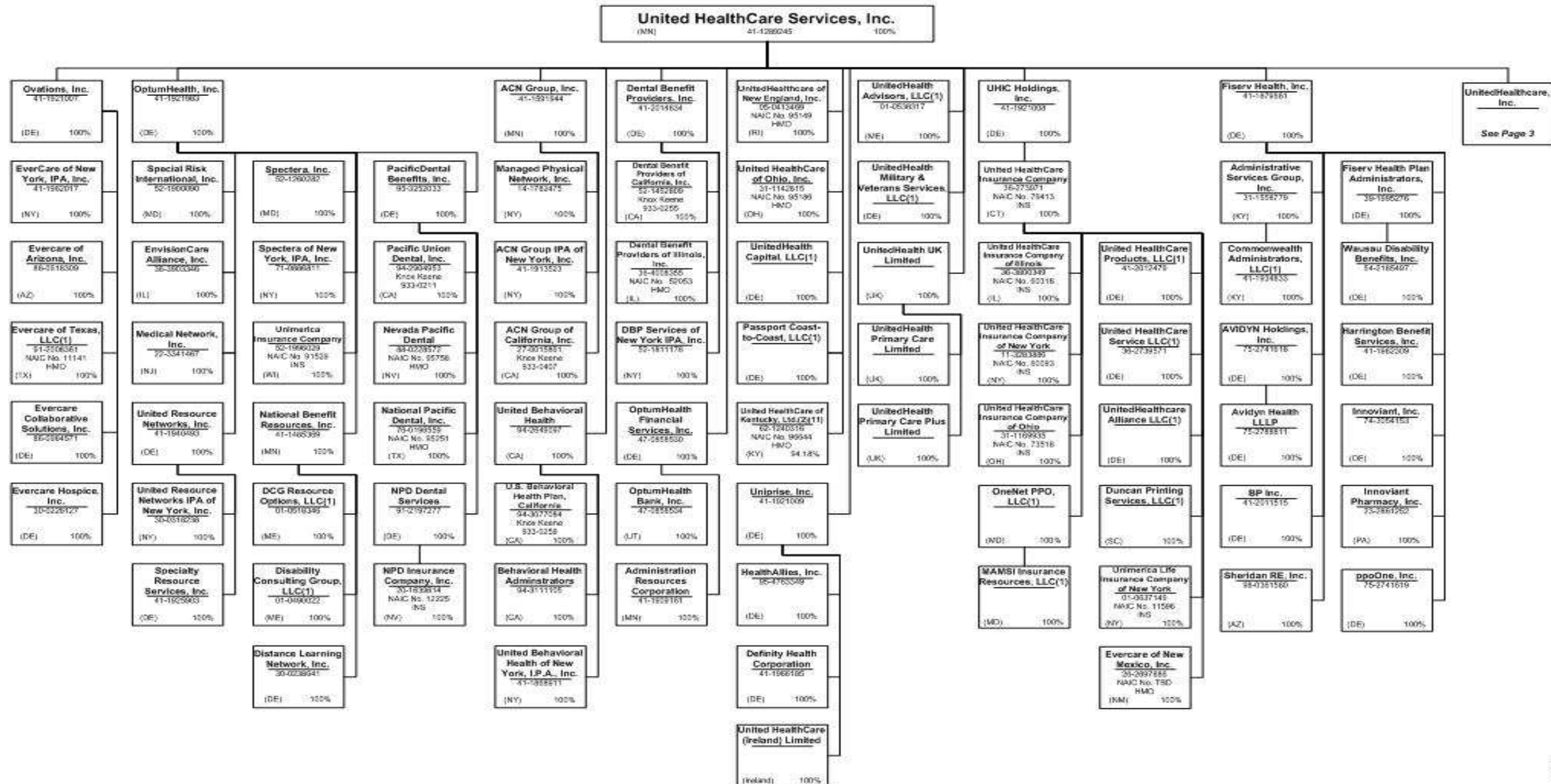
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



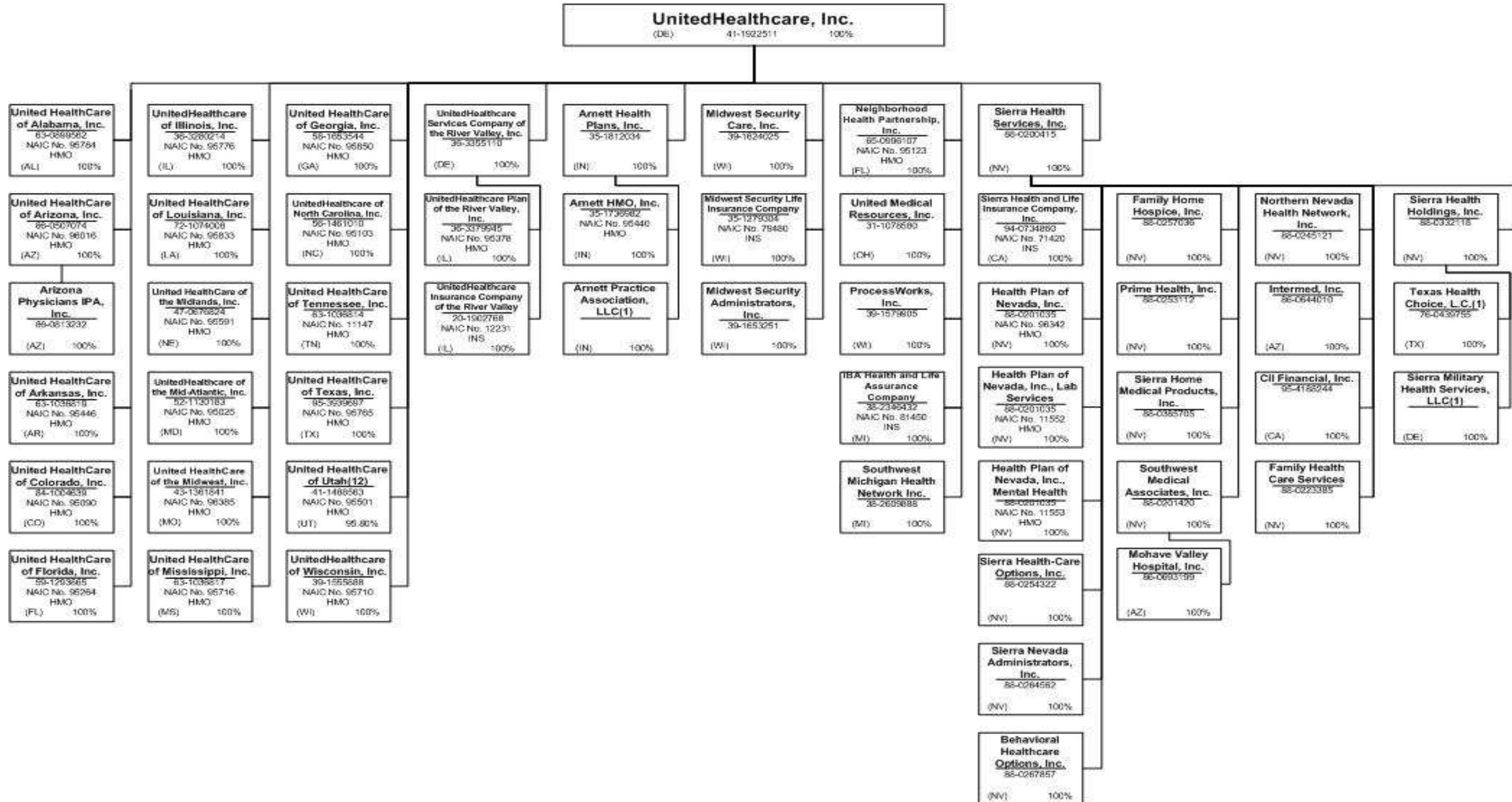
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



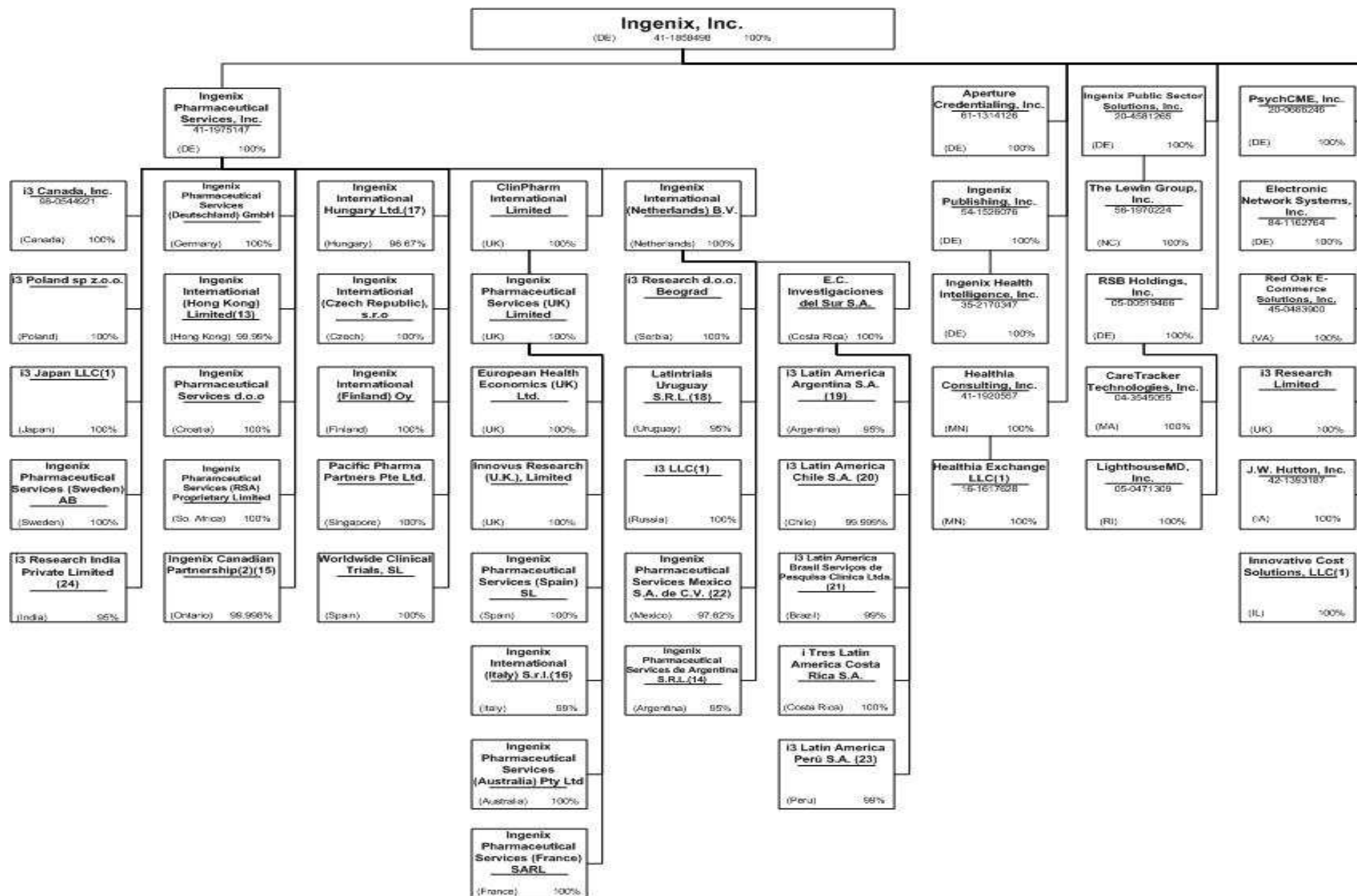
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) PacifiCare Life and Health Insurance Company is 99% owned by PacifiCare Health Plan Administrators, Inc. and 1% owned by PacifiCare Health Systems, LLC
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) UnitedHealth Group International B.V. is 70.56% owned by UnitedHealth Group Incorporated and 29.44% owned by United HealthCare Services, Inc.
- (8) United Healthcare India (Private) Limited is 99.9952% owned by United Healthcare International Mauritius Limited and 0.0048% owned by UnitedHealth International, Inc.
- (9) Omega Insurance Advisors Private Limited is 99.99% owned by United Healthcare India (Private) Limited and 0.01% owned by an individual shareholder
- (10) UnitedHealthcare Asia Limited is 99% owned by UnitedHealthcare International Asia, LLC and 1% owned by UnitedHealth International, Inc.
- (11) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (12) United HealthCare of Utah is 95.80% owned by UnitedHealthcare, Inc. and 4.20% owned by 34 physicians / physician groups
- (13) Ingenix International (Hong Kong) Limited is 99.99% owned by Ingenix Pharmaceutical Services, Inc. and 0.01% owned by Ingenix, Inc.
- (14) Ingenix Pharmaceutical Services de Argentina S.R.L is 95% owned by Ingenix International (Netherlands) B.V. and 5% owned by Ingenix, Inc.
- (15) Ingenix Canada Partnership is 99.998% owned by Ingenix Pharmaceutical Services, Inc. and 0.002% owned by Ingenix, Inc.
- (16) Ingenix International (Italy) S.r.l. is 99% owned by Ingenix Pharmaceutical Services (UK) Limited and 1% owned by Ingenix Pharmaceutical Services, Inc.
- (17) Ingenix International Hungary Ltd. is 96.67% owned by Ingenix Pharmaceutical Services, Inc. and 3.33% owned by Ingenix, Inc.
- (18) Latintrialis Uruguay S.R.L. is 95% owned by Ingenix International (Netherlands) BV and 5% owned by Ingenix Pharmaceutical Services, Inc.
- (19) i3 Latin America Argentina S.A. is 95% owned by E.C. Investigaciones del Sur S.A. and 5% owned by Ingenix Pharmaceutical Services, Inc.
- (20) i3 Latin America Chile S.A. is 99.999% owned by E.C. Investigaciones del Sur S.A. and 0.0001% owned by Ingenix Pharmaceutical Services, Inc.

- (21) i3 Latin America Brasil Serviços de Pesquisa Clínica Ltda. is 99% owned by E.C. Investigaciones del Sur S.A. and 1% owned by i Tres Latin America Costa Rica S.A.
- (22) Ingenix Pharmaceutical Services Mexico S.A. de C.V. is 97.62% owned by Ingenix International (Netherlands) B.V. and 2.36% owned by E.C. Investigaciones del Sur S.A.. The remaining 0.02% is owned by i3 Latin America Argentina S.A..
- (23) i3 Latin America Perú S.A. is 99% owned by E.C. Investigaciones del Sur S.A. and 1% owned by i3 Latin America Argentina S.A.
- (24) i3 Research India Private Limited is 95% owned by Ingenix Pharmaceutical Services, Inc. and 5% owned by Ingenix, Inc.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplemental is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Statement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

1.

Bar Code:

1.



OVERFLOW PAGE FOR WRITE-INS

MQ002 Additional Aggregate Lines for Page 02 Line 23.
*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
2304. Receivable from Litigation.....			0	125,000
2397. Summary of remaining write-ins for Line 23 from Page 02	0	0	0	125,000

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisitions		
2.2 Additional investment made after acquisitions		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		0
5. Deduct amounts received on disposals		0
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	0	0
10. Deduct total nonadmitted amount		0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest at December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisitions		0
2.2 Additional investment made after acquisitions		0
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted accounts		0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE BA – VERIFICATION

Other Long Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisitions		0
2.2 Additional investment made after acquisitions		0
3. Capitalized deferred interest and other		
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and depreciation		0
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts		0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	236,382,934	166,667,269
2. Cost of bonds and stocks acquired	192,818,952	169,000,683
3. Accrual of discount	396,772	786,850
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	2,402,129	313,285
6. Deduct consideration for bonds and stocks disposed of	181,507,475	99,927,655
7. Deduct amortization of premium	1,329,018	457,497
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	611,410	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	248,552,885	236,382,934
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	248,552,885	236,382,934

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	406,945,963	1,586,573,080	1,575,691,255	(577,215)	382,424,921	406,945,963	417,250,573	349,333,832
2. Class 2 (a).....	6,509,366	1,752,449	.0	(1,245)	5,772,018	6,509,366	8,260,569	8,540,237
3. Class 3 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
4. Class 4 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
5. Class 5 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
6. Class 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds	413,455,329	1,588,325,529	1,575,691,255	(578,460)	388,196,939	413,455,329	425,511,142	357,874,069
PREFERRED STOCK								
8. Class 10	.0	.0	.0	.0	.0	.0	.0
9. Class 20	.0	.0	.0	.0	.0	.0	.0
10. Class 30	.0	.0	.0	.0	.0	.0	.0
11. Class 40	.0	.0	.0	.0	.0	.0	.0
12. Class 50	.0	.0	.0	.0	.0	.0	.0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	413,455,329	1,588,325,529	1,575,691,255	(578,460)	388,196,939	413,455,329	425,511,142	357,874,069

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....176,583,257 ; NAIC 2 \$.....375,000 ; NAIC 3 \$.....0 ; NAIC 4 \$.....0 ; NAIC 5 \$.....0 ; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter					
	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
8299999 Totals	42,478,256	XXX	42,486,566	319,504	0

SCHEDULE DA - VERIFICATION

Short-Term Investments		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	71,023,997	130,134,013
2. Cost of short-term investments acquired	2,688,997,789	2,808,081,805
3. Accrual of discount.....	79,083	164,203
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	45,180	16,837
6. Deduct consideration received on disposals.....	2,717,660,808	2,867,351,652
7. Deduct amortization of premium.....	6,985	21,208
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	42,478,256	71,023,997
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	42,478,256	71,023,997

Schedule DB - Part F - Section 1

NONE

Schedule DB - Part F - Section 2

NONE

SCHEDULE E-VERIFICATION
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	50,467,137	77,429,632
2. Cost of cash equivalents.....	736,783,061	1,233,920,112
3. Accrual of discount.....	771,334	2,627,046
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	94,597	(1,995)
6. Deduct consideration received on disposals.....	653,636,128	1,263,507,657
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	134,480,001	50,467,137
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	134,480,001	50,467,137

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator ^(a)
3133XK-QX-6.....	FHLB Note Non Call 4.875% 05/17/17.....		..09/24/2008.....	Barclays Group Inc.....		7,112,399.....	7,000,000.....	121,333.....	1FE.....
912810-PU-6.....	US Treasury Bond 5.000% 05/15/37.....		..09/23/2008.....	Deutsche Bank.....		3,282,200.....	3,000,000.....	53,804.....	1.....
912828-FK-1.....	US Treasury Note 5.125% 06/30/11.....		..09/26/2008.....	Mellon Bank.....		16,579,063.....	15,400,000.....	195,168.....	1.....
0399999 - Total -	Bonds - U.S. Government					26,973,661.....	25,400,000.....	370,305.....	XXX.....
31414F-K9-1.....	FNMA Pool 964820 MBS 5.000% 08/01/23.....		..09/09/2008.....	Greenwich Cap Mkts.....		2,585,506.....	2,561,492.....	5,336.....	1.....
1099999 - Total -	Bonds - All Other Government					2,585,506.....	2,561,492.....	5,336.....	XXX.....
604128-3E-6.....	MN State GO Non Call 5.000% 08/01/11.....		..08/19/2008.....	Pershing.....		2,037,617.....	1,900,000.....	5,542.....	1FE.....
606301-JG-5.....	Missouri State GO Non Call 5.000% 10/0.....		..09/16/2008.....	Bank of America Sec.....		5,052,780.....	4,500,000.....	105,000.....	1FE.....
658256-VF-6.....	NC State GO Non Call 5.000% 04/01/17.....		..09/16/2008.....	Bank of America Sec.....		4,988,178.....	4,435,000.....	103,483.....	1FE.....
882721-4X-8.....	TX State GO Non Call 5.000% 10/01/11.....		..07/17/2008.....	Piper Jaffray.....		2,616,184.....	2,450,000.....	0.....	1FE.....
1799999 - Total -	Bonds - States, Territories and Possessions					14,694,758.....	13,285,000.....	214,025.....	XXX.....
117497-BQ-9.....	Bryan Cnty GA GO Non Call 5.000% 08/01.....		..07/25/2008.....	Wachovia Bank.....		1,991,156.....	1,875,000.....	0.....	1FE.....
234685-GU-3.....	Dallas Cnty TX Cmty College GO Non Call.....		..08/06/2008.....	Southwest Securities.....		1,053,610.....	1,000,000.....	4,583.....	1FE.....
358802-Y9-1.....	Frisco TX Indp Sch Dist GO Cont Call 5.....		..09/17/2008.....	RBC Capital Markets.....		1,248,000.....	1,200,000.....	6,167.....	1FE.....
659154-3Z-9.....	North East ISD Tex GO Cont Call 5.000%.....		..09/17/2008.....	RBC Capital Markets.....		1,058,871.....	1,030,000.....	7,296.....	1FE.....
779239-8G-0.....	Round Rock TX Sch Dist GO Cont Call 5.....		..09/12/2008.....	Southwest Securities.....		5,719,220.....	5,200,000.....	33,222.....	1FE.....
796236-WZ-6.....	San Antonio TX GO Cont Call Prfd 5.250.....		..07/22/2008.....	Lehman Bros Inc.....		2,126,280.....	2,000,000.....	50,750.....	1FE.....
2499999 - Total -	Bonds - Political Subdivisions					13,197,137.....	12,305,000.....	102,018.....	XXX.....
207737-DT-1.....	Connecticut State Rev Bond Non Call 5.....		..07/24/2008.....	National Financial Services Co.....		1,426,167.....	1,350,000.....	0.....	1FE.....
3128M6-AQ-1.....	FHLMC Pool G04215 MBS 5.500% 05/01/38.....		..09/25/2008.....	Bank of America Sec.....		1,959,582.....	1,961,425.....	3,896.....	1.....
3128M6-HW-1.....	FHLMC Pool G04445 MBS 5.500% 06/01/38.....		..09/09/2008.....	Greenwich Cap Mkts.....		3,034,211.....	2,990,752.....	5,026.....	1.....
312926-2P-2.....	FHLMC Pool A80782 MBS 6.000% 08/01/38.....		..09/24/2008.....	Greenwich Cap Mkts.....		3,764,121.....	3,695,977.....	17,248.....	1.....
31402R-RN-1.....	FNMA Pool 735893 MBS 5.000% 10/01/35.....		..09/24/2008.....	Barclays Group Inc.....		4,990,694.....	5,068,704.....	19,712.....	1.....
31412M-X4-5.....	FNMA Pool 929599 MBS 5.500% 06/01/38.....		..09/10/2008.....	Lehman Bros Inc.....		2,317,120.....	2,289,928.....	3,499.....	1.....
31415S-M4-1.....	FNMA Pool 987879 MBS 5.500% 09/01/38.....		..09/24/2008.....	Bank of America Sec.....		3,536,779.....	3,519,730.....	15,057.....	1.....
455141-KK-6.....	IN Transn Fin Auth Rev Bond Cont Call Si.....		..09/23/2008.....	Bank of America Sec.....		3,909,998.....	3,625,000.....	57,899.....	1FE.....
59259N-BR-3.....	Metropolitan Trans Auth Rev Bon Non Call.....		..09/17/2008.....	Pershing.....		5,672,300.....	5,000,000.....	130,625.....	1FE.....
759911-WB-2.....	Regional Trns Auth IL Rev Bond Non Call.....		..09/09/2008.....	Morgan Stanley.....		1,760,419.....	1,545,000.....	25,256.....	1FE.....
759911-WC-0.....	Regional Trns Auth IL Rev Bond Non Call.....		..09/09/2008.....	Morgan Stanley.....		4,765,646.....	4,195,000.....	68,577.....	1FE.....
3199999 - Total -	Bonds - Special Revenue					37,137,037.....	35,241,516.....	346,794.....	XXX.....
665772-BW-8.....	Northern States Power Note Cont Call 8.....		..07/02/2008.....	Bank of America Sec.....		333,243.....	300,000.....	8,667.....	1FE.....
3899999 - Total -	Bonds - Public Utilities					333,243.....	300,000.....	8,667.....	XXX.....
079860-AF-9.....	Bellsouth Corporation Corporate Note Con.....		..07/01/2008.....	CitiGroup.....		1,759,196.....	1,750,000.....	22,867.....	1FE.....
36962G-3K-8.....	GE Cap Corp Corp Note 5.250% 10/19/12.....		..07/01/2008.....	JP Morgan Chase.....		1,002,880.....	1,000,000.....	11,375.....	1FE.....
437076-AM-4.....	Home Depot Inc Corp Note Cont Call 4.6.....		..07/02/2008.....	Wachovia Bank.....		586,873.....	590,000.....	10,839.....	2FE.....
50075N-AH-7.....	Kraft Foods Inc. Corporate Note Non Call.....		..07/01/2008.....	Wachovia Bank.....		606,449.....	590,000.....	3,688.....	2FE.....
743410-AH-5.....	Prologis Corporate Note Cont Callable.....		..07/01/2008.....	UBS Financial Services.....		559,126.....	560,000.....	4,247.....	2FE.....
4599999 - Total -	Bonds - Industrial, Misc.					4,514,525.....	4,490,000.....	53,015.....	XXX.....
6099997 - Total -	Bonds - Part 3					99,435,867.....	93,583,008.....	1,100,160.....	XXX.....
6099999 - Total -	Bonds					99,435,867.....	93,583,008.....	1,100,160.....	XXX.....
6599999 - Total -	Preferred Stocks					0.....	XXX.....	0.....	XXX.....
7299999 - Total -	Common Stocks					0.....	XXX.....	0.....	XXX.....
7399999 - Total -	Preferred and Common Stocks					0.....	XXX.....	0.....	XXX.....
7499999 - Totals						99,435,867.....	XXX.....	1,100,160.....	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0 .

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Desig- nation or Market Indicator (a)
3128X3-SL-8.	FHLMC Note Callable 4.375% 07/30/09		09/04/2008	Stifel Nicolaus & Co.		1,011,500	1,000,000	997,500	999,151	.0	.358	.0	.358	.0	.999,509	.0	.11,991	.11,991	.48,003	07/30/2009	1FE
3133M7-UL-8.	FHLB Note - Non Call 5.705% 03/02/09		09/04/2008	Stifel Nicolaus & Co.		1,013,000	1,000,000	983,590	997,057	.0	1,678	.0	1,678	.0	.998,734	.0	.14,266	.14,266	.57,525	03/02/2009	1FE
3133XD-BY-6.	FHLB Note Cont Call 4.375% 10/03/08		09/04/2008	CS First Boston Corp.		12,343,917	12,325,000	12,298,563	12,305,057	.0	17,904	.0	17,904	.0	12,322,961	.0	.20,955	.20,955	.497,280	10/03/2008	1FE
3133XM-ES-6.	FHLB Note Non Call 4.375% 10/22/10		09/04/2008	Deutsche Bank		2,923,088	2,850,000	2,833,641	2,834,776	.0	3,501	.0	3,501	.0	2,838,278	.0	.84,811	.84,811	.108,409	10/22/2010	1FE
3134A4-US-1.	FHLMC Note Non Callable 4.250% 07/15/0		09/04/2008	Merrill Lynch		6,566,554	6,500,000	6,373,950	6,430,019	.0	30,304	.0	30,304	.0	6,460,323	.0	.106,230	.106,230	.314,618	07/15/2009	1FE
31359W-FG-3.	FNMA Note 7.250% 01/15/10		09/04/2008	CitiGroup		9,692,543	9,175,000	9,714,707	9,642,458	.0	(151,232)	.0	(151,232)	.0	9,491,226	.0	.201,318	.201,318	.757,575	01/15/2010	1FE
3137EA-AV-1.	FHLMC Note Non Call 5.500% 08/20/12		09/04/2008	Merrill Lynch		2,345,578	2,200,000	2,324,612	2,323,333	.0	(16,431)	.0	(16,431)	.0	2,306,902	.0	.38,676	.38,676	.147,889	08/20/2012	1FE
36220N-VU-8.	GNMA Pool 283327 (MBS) 9.000% 12/15/19		07/01/2008	Paydown		.77	.77	.77	.77	.0	.0	.0	.0	.0	.77	.0	.0	.0	.4	12/15/2019	1
36220N-VU-8.	GNMA Pool 283327 (MBS) 9.000% 12/15/19		08/01/2008	Paydown		.171	.171	.171	.170	.0	.0	.0	.0	.0	.171	.0	.0	.0	.10	12/15/2019	1
36220N-VU-8.	GNMA Pool 283327 (MBS) 9.000% 12/15/19		09/01/2008	Paydown		.81	.81	.81	.80	.0	.0	.0	.0	.0	.81	.0	.0	.0	.5	12/15/2019	1
912828-CH-1.	US Treasury Note 3.875% 05/15/09		07/01/2008	CitiGroup		2,534,660	2,500,000	2,441,211	2,468,806	.0	11,490	.0	11,490	.0	2,480,296	.0	.54,364	.54,364	.62,390	05/15/2009	1
912828-CH-1.	US Treasury Note 3.875% 05/15/09		09/04/2008	Chase Securities		2,177,204	2,150,000	2,099,369	2,122,249	.0	13,513	.0	13,513	.0	2,135,761	.0	.41,442	.41,442	.67,239	05/15/2009	1
912828-DX-5.	US Treasury Note 3.625% 06/15/10		09/04/2008	CitiGroup		16,436,821	16,000,000	16,473,125	.0	.0	(70,228)	.0	(70,228)	.0	16,402,897	.0	.33,924	.33,924	.419,945	06/15/2010	1
912828-ES-5.	US Treasury Note 4.250% 01/15/11		07/02/2008	CitiGroup		623,131	.600,000	.611,156	.610,740	.0	(1,748)	.0	(1,748)	.0	.608,992	.0	.14,138	.14,138	.25,010	01/15/2011	1
912828-ES-5.	US Treasury Note 4.250% 01/15/11		08/20/2008	CitiGroup		522,244	.500,000	.509,297	.508,950	.0	(1,799)	.0	(1,799)	.0	.507,151	.0	.15,093	.15,093	.23,387	01/15/2011	1
912828-ES-5.	US Treasury Note 4.250% 01/15/11		09/04/2008	Deutsche Bank		1,182,921	1,130,000	1,151,011	1,150,227	.0	(4,330)	.0	(4,330)	.0	1,145,897	.0	.37,024	.37,024	.54,811	01/15/2011	1
912828-FS-4.	US Treasury Note 4.625% 08/31/11		07/02/2008	CitiGroup		419,921	.400,000	.418,406	.417,993	.0	(2,396)	.0	(2,396)	.0	.415,597	.0	.4,323	.4,323	.15,785	08/31/2011	1
912828-FS-4.	US Treasury Note 4.625% 08/31/11		09/04/2008	Barclays Group Inc		1,860,053	1,750,000	1,830,527	1,828,720	.0	(13,780)	.0	(13,780)	.0	1,814,939	.0	.45,114	.45,114	.82,055	08/31/2011	1
912828-HE-3.	US Treasury Note 4.250% 09/30/12		07/01/2008	CitiGroup		548,254	.525,000	.541,345	.541,177	.0	(1,609)	.0	(1,609)	.0	.539,568	.0	.8,686	.8,686	.17,131	09/30/2012	1
912828-HE-3.	US Treasury Note 4.250% 09/30/12		07/01/2008	CitiGroup		573,932	.550,000	.567,123	.566,947	.0	(1,686)	.0	(1,686)	.0	.565,261	.0	.8,670	.8,670	.17,946	09/30/2012	1
912828-HE-3.	US Treasury Note 4.250% 09/30/12		07/01/2008	Merrill Lynch		1,042,809	1,000,000	1,031,133	1,030,813	.0	(3,065)	.0	(3,065)	.0	1,027,748	.0	.15,061	.15,061	.32,630	09/30/2012	1
912828-HE-3.	US Treasury Note 4.250% 09/30/12		09/04/2008	Barclays Group Inc		610,127	.575,000	.592,901	.592,718	.0	(2,336)	.0	(2,336)	.0	.590,382	.0	.19,745	.19,745	.22,768	09/30/2012	1
0399999 - Bonds - U.S. Governments						64,428,584	62,730,328	63,793,496	47,371,518	0	(191,892)	0	(191,892)	0	63,652,751	0	775,833	775,833	2,772,415	XXX	XXX
3128M1-MS-5.	FHLMC Pool G12269 (MBS) 4.500% 09/01/2		07/01/2008	Paydown		13,741	13,741	13,211	13,244	.0	.497	.0	.497	.0	13,741	.0	.0	.0	.361	09/01/2020	1
3128M1-MS-5.	FHLMC Pool G12269 (MBS) 4.500% 09/01/2		08/01/2008	Paydown		12,329	12,329	11,853	11,883	.0	.446	.0	.446	.0	12,329	.0	.0	.0	.370	09/01/2020	1
3128M1-MS-5.	FHLMC Pool G12269 (MBS) 4.500% 09/01/2		09/01/2008	Paydown		14,309	14,309	13,757	13,791	.0	.517	.0	.517	.0	14,309	.0	.0	.0	.483	09/01/2020	1
3128M1-MS-5.	FHLMC Pool G12269 (MBS) 4.500% 09/01/2		09/04/2008	Bank of America Sec.		912,126	.933,867	.897,826	.900,094	.0	.577	.0	.577	.0	.900,671	.0	.11,455	.11,455	.32,685	09/01/2020	1
3128PB-G4-0.	FHLMC Pool J00219 (MBS) 4.500% 10/01/2		07/01/2008	Paydown		34,307	34,307	32,983	33,078	.0	1,229	.0	1,229	.0	34,307	.0	.0	.0	.901	10/01/2020	1
3128PB-G4-0.	FHLMC Pool J00219 (MBS) 4.500% 10/01/2		08/01/2008	Paydown		21,167	21,167	20,350	20,409	.0	.758	.0	.758	.0	21,167	.0	.0	.0	.635	10/01/2020	1
3128PB-G4-0.	FHLMC Pool J00219 (MBS) 4.500% 10/01/2		09/01/2008	Paydown		5,952	5,952	5,722	5,739	.0	.213	.0	.213	.0	5,952	.0	.0	.0	.201	10/01/2020	1
3128PB-G4-0.	FHLMC Pool J00219 (MBS) 4.500% 10/01/2		09/04/2008	Bank of America Sec.		913,501	.935,276	.899,180	.901,771	.0	.41	.0	.41	.0	.901,812	.0	.11,689	.11,689	.32,735	10/01/2020	1
3128PC-2X-9.	FHLMC Pool J01690 (MBS) 5.500% 04/01/2		07/01/2008	Paydown		15,050	15,050	15,045	15,043	.0	.7	.0	.7	.0	15,050	.0	.0	.0	.483	04/01/2021	1
3128PC-2X-9.	FHLMC Pool J01690 (MBS) 5.500% 04/01/2		08/01/2008	Paydown		3,950	3,950	3,949	3,949	.0	.2	.0	.2	.0	3,950	.0	.0	.0	.145	04/01/2021	1

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Desig- nation or Market Indicator (a)
3128PC-2X-9..	FHLMC Pool J01690 (MBS) 5.500% 04/01/2..		09/01/2008..	Paydown.....		3,933.....	3,933.....	3,931.....	3,931.....	0.....	2.....	0.....	2.....	0.....	3,933.....	0.....	0.....	0.....	162.....	04/01/2021..	1.....
3128PE-4K-1..	FHLMC Pool J03526 MBS 6.000% 10/01/21..		07/01/2008..	Paydown.....		3,696.....	3,696.....	3,757.....	3,752.....	0.....	(57).....	0.....	(57).....	0.....	3,696.....	0.....	0.....	0.....	129.....	10/01/2021..	1.....
3128PE-4K-1..	FHLMC Pool J03526 MBS 6.000% 10/01/21..		08/01/2008..	Paydown.....		10,956.....	10,956.....	11,138.....	11,124.....	0.....	(168).....	0.....	(168).....	0.....	10,956.....	0.....	0.....	0.....	438.....	10/01/2021..	1.....
3128PE-4K-1..	FHLMC Pool J03526 MBS 6.000% 10/01/21..		09/01/2008..	Paydown.....		11,836.....	11,836.....	12,032.....	12,018.....	0.....	(182).....	0.....	(182).....	0.....	11,836.....	0.....	0.....	0.....	533.....	10/01/2021..	1.....
3128PE-4P-0..	FHLMC Pool J03530 MBS 6.000% 10/01/21..		07/01/2008..	Paydown.....		19,665.....	19,665.....	19,941.....	19,924.....	0.....	(259).....	0.....	(259).....	0.....	19,665.....	0.....	0.....	0.....	688.....	10/01/2021..	1.....
3128PE-4P-0..	FHLMC Pool J03530 MBS 6.000% 10/01/21..		08/01/2008..	Paydown.....		3,906.....	3,906.....	3,961.....	3,957.....	0.....	(51).....	0.....	(51).....	0.....	3,906.....	0.....	0.....	0.....	156.....	10/01/2021..	1.....
3128PE-4P-0..	FHLMC Pool J03530 MBS 6.000% 10/01/21..		09/01/2008..	Paydown.....		9,558.....	9,558.....	9,692.....	9,684.....	0.....	(126).....	0.....	(126).....	0.....	9,558.....	0.....	0.....	0.....	430.....	10/01/2021..	1.....
3128PE-KP-2..	FHLMC Pool J03002 (MBS) 5.500% 07/01/2..		07/01/2008..	Paydown.....		73,724.....	73,724.....	73,551.....	73,552.....	0.....	172.....	0.....	172.....	0.....	73,724.....	0.....	0.....	0.....	2,365.....	07/01/2021..	1.....
3128PE-KP-2..	FHLMC Pool J03002 (MBS) 5.500% 07/01/2..		08/01/2008..	Paydown.....		32,042.....	32,042.....	31,966.....	31,967.....	0.....	75.....	0.....	75.....	0.....	32,042.....	0.....	0.....	0.....	1,175.....	07/01/2021..	1.....
3128PE-KP-2..	FHLMC Pool J03002 (MBS) 5.500% 07/01/2..		09/01/2008..	Paydown.....		38,676.....	38,676.....	38,586.....	38,586.....	0.....	90.....	0.....	90.....	0.....	38,676.....	0.....	0.....	0.....	1,595.....	07/01/2021..	1.....
3128PH-BH-3..	FHLMC Pool J05440 MBS 6.000% 08/01/22..		07/01/2008..	Paydown.....		65,457.....	65,457.....	66,336.....	66,320.....	0.....	(863).....	0.....	(863).....	0.....	65,457.....	0.....	0.....	0.....	2,291.....	08/01/2022..	1.....
3128PH-BH-3..	FHLMC Pool J05440 MBS 6.000% 08/01/22..		08/01/2008..	Paydown.....		5,036.....	5,036.....	5,104.....	5,102.....	0.....	(66).....	0.....	(66).....	0.....	5,036.....	0.....	0.....	0.....	201.....	08/01/2022..	1.....
3128PH-BH-3..	FHLMC Pool J05440 MBS 6.000% 08/01/22..		09/01/2008..	Paydown.....		14,784.....	14,784.....	14,983.....	14,979.....	0.....	(195).....	0.....	(195).....	0.....	14,784.....	0.....	0.....	0.....	665.....	08/01/2022..	1.....
3128PH-BH-3..	FHLMC Pool J05440 MBS 6.000% 08/01/22..		09/04/2008..	Bank of America Sec.....		1,181,498.....	1,150,051.....	1,165,505.....	1,165,221.....	0.....	381.....	0.....	381.....	0.....	1,165,602.....	0.....	15,896.....	15,896.....	53,669.....	08/01/2022..	1.....
3128PH-BM-2..	FHLMC Pool J05444 MBS 6.000% 08/01/22..		07/01/2008..	Paydown.....		16,232.....	16,232.....	16,466.....	16,461.....	0.....	(229).....	0.....	(229).....	0.....	16,232.....	0.....	0.....	0.....	568.....	08/01/2022..	1.....
3128PH-BM-2..	FHLMC Pool J05444 MBS 6.000% 08/01/22..		08/01/2008..	Paydown.....		35,029.....	35,029.....	35,533.....	35,523.....	0.....	(494).....	0.....	(494).....	0.....	35,029.....	0.....	0.....	0.....	1,401.....	08/01/2022..	1.....
3128PH-BM-2..	FHLMC Pool J05444 MBS 6.000% 08/01/22..		09/01/2008..	Paydown.....		33,490.....	33,490.....	33,972.....	33,962.....	0.....	(472).....	0.....	(472).....	0.....	33,490.....	0.....	0.....	0.....	1,507.....	08/01/2022..	1.....
3128PH-BM-2..	FHLMC Pool J05444 MBS 6.000% 08/01/22..		09/04/2008..	Stifel Nicolaus & Co.....		1,365,466.....	1,328,113.....	1,347,205.....	1,346,829.....	0.....	(31).....	0.....	(31).....	0.....	1,346,798.....	0.....	18,669.....	18,669.....	61,979.....	08/01/2022..	1.....
3128PH-CY-5..	FHLMC Pool J05487 MBS 6.000% 09/01/22..		07/01/2008..	Paydown.....		4,753.....	4,753.....	4,817.....	4,816.....	0.....	(63).....	0.....	(63).....	0.....	4,753.....	0.....	0.....	0.....	166.....	09/01/2022..	1.....
3128PH-CY-5..	FHLMC Pool J05487 MBS 6.000% 09/01/22..		08/01/2008..	Paydown.....		57,915.....	57,915.....	58,693.....	58,677.....	0.....	(762).....	0.....	(762).....	0.....	57,915.....	0.....	0.....	0.....	2,317.....	09/01/2022..	1.....
3128PH-CY-5..	FHLMC Pool J05487 MBS 6.000% 09/01/22..		09/01/2008..	Paydown.....		4,284.....	4,284.....	4,342.....	4,341.....	0.....	(56).....	0.....	(56).....	0.....	4,284.....	0.....	0.....	0.....	193.....	09/01/2022..	1.....
3128PH-CY-5..	FHLMC Pool J05487 MBS 6.000% 09/01/22..		09/04/2008..	Bank of America Sec.....		1,184,890.....	1,153,353.....	1,168,851.....	1,168,528.....	0.....	491.....	0.....	491.....	0.....	1,169,019.....	0.....	15,871.....	15,871.....	53,823.....	09/01/2022..	1.....
312972-LE-0..	FHLMC Pool B19325 (MBS) 5.000% 05/01/2..		07/01/2008..	Paydown.....		14,825.....	14,825.....	14,527.....	14,547.....	0.....	279.....	0.....	279.....	0.....	14,825.....	0.....	0.....	0.....	432.....	05/01/2020..	1.....
312972-LE-0..	FHLMC Pool B19325 (MBS) 5.000% 05/01/2..		08/01/2008..	Paydown.....		4,937.....	4,937.....	4,837.....	4,844.....	0.....	93.....	0.....	93.....	0.....	4,937.....	0.....	0.....	0.....	165.....	05/01/2020..	1.....
312972-LE-0..	FHLMC Pool B19325 (MBS) 5.000% 05/01/2..		09/01/2008..	Paydown.....		4,997.....	4,997.....	4,897.....	4,904.....	0.....	94.....	0.....	94.....	0.....	4,997.....	0.....	0.....	0.....	187.....	05/01/2020..	1.....
31339N-WC-6..	FHLMC 2430 VL (CMO) 6.000% 01/15/18..		07/01/2008..	Paydown.....		38,036.....	38,036.....	40,235.....	38,299.....	0.....	(263).....	0.....	(263).....	0.....	38,036.....	0.....	0.....	0.....	1,331.....	06/15/2009..	1.....
31339N-WC-6..	FHLMC 2430 VL (CMO) 6.000% 01/15/18..		08/01/2008..	Paydown.....		37,893.....	37,893.....	40,083.....	38,155.....	0.....	(262).....	0.....	(262).....	0.....	37,893.....	0.....	0.....	0.....	1,516.....	06/15/2009..	1.....
31339N-WC-6..	FHLMC 2430 VL (CMO) 6.000% 01/15/18..		09/01/2008..	Paydown.....		19,728.....	19,728.....	20,868.....	19,864.....	0.....	(136).....	0.....	(136).....	0.....	19,728.....	0.....	0.....	0.....	888.....	06/15/2009..	1.....
3133T1-SR-4..	FHLMC 1601 PJ (CMO) 6.000% 10/15/08..		07/01/2008..	Paydown.....		10,747.....	10,747.....	10,938.....	10,738.....	0.....	9.....	0.....	9.....	0.....	10,747.....	0.....	0.....	0.....	376.....	10/15/2008..	1.....
3133T1-SR-4..	FHLMC 1601 PJ (CMO) 6.000% 10/15/08..		08/01/2008..	Paydown.....		9,817.....	9,817.....	9,991.....	9,809.....	0.....	8.....	0.....	8.....	0.....	9,817.....	0.....	0.....	0.....	393.....	10/15/2008..	1.....
3133T1-SR-4..	FHLMC 1601 PJ (CMO) 6.000% 10/15/08..		09/01/2008..	Paydown.....		8,531.....	8,531.....	8,683.....	8,524.....	0.....	7.....	0.....	7.....	0.....	8,531.....	0.....	0.....	0.....	384.....	10/15/2008..	1.....
3133T4-XM-3..	FHLMC 1708 E (CMO) 6.000% 03/15/09..		07/01/2008..	Paydown.....		5,585.....	5,585.....	5,307.....	5,544.....	0.....	41.....	0.....	41.....	0.....	5,585.....	0.....	0.....	0.....	195.....	03/15/2009..	1.....
3133T4-XM-3..	FHLMC 1708 E (CMO) 6.000% 03/15/09..		08/01/2008..	Paydown.....		4,990.....	4,990.....	4,742.....	4,954.....	0.....	36.....	0.....	36.....	0.....	4,990.....	0.....	0.....	0.....	200.....	03/15/2009..	1.....

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Desig- nation or Market Indicator (a)
3133T4-XM-3..	FHLMC 1708 E (CMO) 6.000% 03/15/09		09/01/2008	Paydown.....		4,806	4,806	4,567	4,771	.0	.35	.0	.35	.0	4,806	.0	.0	.0	.216	03/15/2009	1.....
31392F-FA-0..	FNMA 2002-73 OD (CMO) 5.000% 06/25/16		07/01/2008	Paydown.....		27,507	27,507	28,066	27,658	.0	(.151)	.0	(.151)	.0	27,507	.0	.0	.0	.802	01/25/2012	1.....
31392F-FA-0..	FNMA 2002-73 OD (CMO) 5.000% 06/25/16		08/01/2008	Paydown.....		24,726	24,726	25,228	24,861	.0	(.135)	.0	(.135)	.0	24,726	.0	.0	.0	.824	01/25/2012	1.....
31392F-FA-0..	FNMA 2002-73 OD (CMO) 5.000% 06/25/16		09/01/2008	Paydown.....		22,901	22,901	23,366	23,026	.0	(.125)	.0	(.125)	.0	22,901	.0	.0	.0	.859	01/25/2012	1.....
31392H-KK-8..	FNMA 2003-10 PL (CMO) 4.000% 05/25/26		07/01/2008	Paydown.....		31,324	31,324	31,011	31,214	.0	.111	.0	.111	.0	31,324	.0	.0	.0	.731	05/25/2026	1.....
31392H-S5-3..	FNMA 2003-8 OY (CMO) 4.500% 12/25/25		07/01/2008	Paydown.....		44,541	44,541	44,764	44,483	.0	.58	.0	.58	.0	44,541	.0	.0	.0	1,169	05/25/2010	1.....
31392H-S5-3..	FNMA 2003-8 OY (CMO) 4.500% 12/25/25		08/01/2008	Paydown.....		33,477	33,477	33,644	33,433	.0	.43	.0	.43	.0	33,477	.0	.0	.0	1,004	05/25/2010	1.....
31392H-S5-3..	FNMA 2003-24 LC (CMO) 4.500% 12/25/25		09/01/2008	Paydown.....		41,555	41,555	41,763	41,501	.0	.54	.0	.54	.0	41,555	.0	.0	.0	1,402	05/25/2010	1.....
31393A-G2-7..	PAC 5.000% 12/25 FNMA 2003-24 LC (CMO)		07/01/2008	Paydown.....		29,679	29,679	29,679	29,679	.0	.0	.0	.0	.0	29,679	.0	.0	.0	.866	12/25/2015	1.....
31393A-G2-7..	PAC 5.000% 12/25 FNMA 2003-24 LC (CMO)		08/01/2008	Paydown.....		35,491	35,491	35,491	35,491	.0	.0	.0	.0	.0	35,491	.0	.0	.0	1,183	12/25/2015	1.....
31393A-G2-7..	PAC 5.000% 12/25 FHLMC 2548 HA (CMO)		09/01/2008	Paydown.....		36,135	36,135	36,135	36,135	.0	.0	.0	.0	.0	36,135	.0	.0	.0	1,355	12/25/2015	1.....
31393H-UF-7..	FHLMC 2548 HA (CMO) 4.500% 01/15/10		07/01/2008	Paydown.....		23,206	23,206	23,166	23,165	.0	.40	.0	.40	.0	23,206	.0	.0	.0	.609	01/15/2010	1.....
31393H-UF-7..	FHLMC 2548 HA (CMO) 4.500% 01/15/10		08/01/2008	Paydown.....		16,743	16,743	16,715	16,714	.0	.29	.0	.29	.0	16,743	.0	.0	.0	.502	01/15/2010	1.....
31393H-UF-7..	FHLMC 2548 HA (CMO) 4.500% 01/15/10		09/01/2008	Paydown.....		12,149	12,149	12,128	12,127	.0	.21	.0	.21	.0	12,149	.0	.0	.0	.410	01/15/2010	1.....
31393K-F7-5..	FHLMC 2572 HG (CMO) 4.500% 02/15/17		07/01/2008	Paydown.....		16,422	16,422	16,268	16,335	.0	.87	.0	.87	.0	16,422	.0	.0	.0	.431	02/15/2017	1.....
31393K-F7-5..	FHLMC 2572 HG (CMO) 4.500% 02/15/17		08/01/2008	Paydown.....		14,728	14,728	14,590	14,650	.0	.78	.0	.78	.0	14,728	.0	.0	.0	.442	02/15/2017	1.....
31393K-F7-5..	FHLMC 2572 HG (CMO) 4.500% 02/15/17		09/01/2008	Paydown.....		12,554	12,554	12,436	12,487	.0	.66	.0	.66	.0	12,554	.0	.0	.0	.424	02/15/2017	1.....
31393K-FA-8..	FHLMC 2572 HK (CMO) 4.000% 02/15/17		07/01/2008	Paydown.....		16,422	16,422	16,337	16,365	.0	.57	.0	.57	.0	16,422	.0	.0	.0	.383	02/15/2017	1.....
31393K-FA-8..	FHLMC 2572 HK (CMO) 4.000% 02/15/17		08/01/2008	Paydown.....		14,728	14,728	14,652	14,677	.0	.51	.0	.51	.0	14,728	.0	.0	.0	.393	02/15/2017	1.....
31393K-FA-8..	FHLMC 2572 HK (CMO) 4.000% 02/15/17		09/01/2008	Paydown.....		12,554	12,554	12,489	12,510	.0	.43	.0	.43	.0	12,554	.0	.0	.0	.377	02/15/2017	1.....
31393L-MY-6..	FHLMC 2564 OR (CMO) 4.500% 02/15/26		07/01/2008	Paydown.....		55,800	55,800	56,542	55,894	.0	(94)	.0	(94)	.0	55,800	.0	.0	.0	1,465	08/15/2010	1.....
31393L-MY-6..	FHLMC 2564 OR (CMO) 4.500% 02/15/26		08/01/2008	Paydown.....		45,862	45,862	46,471	45,939	.0	(77)	.0	(77)	.0	45,862	.0	.0	.0	1,376	08/15/2010	1.....
31393L-MY-6..	FHLMC 2564 OR (CMO) 4.500% 02/15/26		09/01/2008	Paydown.....		29,872	29,872	30,268	29,922	.0	(50)	.0	(50)	.0	29,872	.0	.0	.0	1,008	08/15/2010	1.....
31395W-WF-0..	FHLMC 3012 TM (CMO) 4.500% 03/15/25		07/01/2008	Paydown.....		21,452	21,452	21,395	21,405	.0	.47	.0	.47	.0	21,452	.0	.0	.0	.563	03/15/2025	1.....
31395W-WF-0..	FHLMC 3012 TM (CMO) 4.500% 03/15/25		08/01/2008	Paydown.....		18,260	18,260	18,211	18,220	.0	.40	.0	.40	.0	18,260	.0	.0	.0	.548	03/15/2025	1.....
31395W-WF-0..	FHLMC 3012 TM (CMO) 4.500% 03/15/25		09/01/2008	Paydown.....		19,380	19,380	19,329	19,338	.0	.42	.0	.42	.0	19,380	.0	.0	.0	.654	03/15/2025	1.....
31402Q-R6-0..	FNMA Pool 735009 (MBS) 5.000% 05/01/19		07/01/2008	Paydown.....		15,081	15,081	14,817	14,831	.0	.250	.0	.250	.0	15,081	.0	.0	.0	.440	05/01/2019	1.....
31402Q-R6-0..	FNMA Pool 735009 (MBS) 5.000% 05/01/19		08/01/2008	Paydown.....		13,116	13,116	12,887	12,899	.0	.218	.0	.218	.0	13,116	.0	.0	.0	.437	05/01/2019	1.....
31402Q-R6-0..	FNMA Pool 735009 (MBS) 5.000% 05/01/19		09/01/2008	Paydown.....		14,165	14,165	13,917	13,930	.0	.235	.0	.235	.0	14,165	.0	.0	.0	.531	05/01/2019	1.....
31407N-FK-4..	FNMA Pool 835470 (MBS) 5.000% 09/01/20		07/01/2008	Paydown.....		6,147	6,147	6,022	6,029	.0	.118	.0	.118	.0	6,147	.0	.0	.0	.179	09/01/2020	1.....
31407N-FK-4..	FNMA Pool 835470 (MBS) 5.000% 09/01/20		08/01/2008	Paydown.....		5,501	5,501	5,389	5,396	.0	.106	.0	.106	.0	5,501	.0	.0	.0	.183	09/01/2020	1.....
31407N-FK-4..	FNMA Pool 839093 (MBS) 5.000% 09/01/20		09/01/2008	Paydown.....		7,182	7,182	7,037	7,045	.0	.138	.0	.138	.0	7,182	.0	.0	.0	.269	09/01/2020	1.....
31407S-GA-4..	5.000% 10/01/20		07/01/2008	Paydown.....		14,309	14,309	14,029	14,042	.0	.267	.0	.267	.0	14,309	.0	.0	.0	.417	10/01/2020	1.....

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Design- ation or Market Indicator (a)
31407S-GA-4..	FNMA Pool 839093 (MBS) 5.000% 10/01/20..		..08/01/2008..	Paydown.....		..9,6909,6909,5009,5090180018009,690000323	..10/01/2020..1.....
31407S-GA-4..	FNMA Pool 839093 (MBS) 5.000% 10/01/20..		..09/01/2008..	Paydown.....		..27,28927,28926,75626,78105080508027,2890001,023	..10/01/2020..1.....
31410P-TL-7..	FNMA Pool 893455 (MBS) 5.500% 09/01/21..		..07/01/2008..	Paydown.....		..4,9234,9234,9024,90202102104,923000158	..09/01/2021..1.....
31410P-TL-7..	FNMA Pool 893455 (MBS) 5.500% 09/01/21..		..08/01/2008..	Paydown.....		..5,3015,3015,2795,27902202205,301000194	..09/01/2021..1.....
31410P-TL-7..	FNMA Pool 893455 (MBS) 5.500% 09/01/21..		..09/01/2008..	Paydown.....		..5,1765,1765,1545,15502202205,176000213	..09/01/2021..1.....
31410P-TL-7..	FNMA Pool 900724 (MBS) 5.500% 09/01/21..		..09/04/2008..	Bank of America Sec.....		..890,006877,663873,961873,9800(.6)0(.6)0873,975016,03116,03137,545	..09/01/2021..1.....
31410X-VR-4..	FNMA Pool 900724 (MBS) 5.500% 08/01/21..		..07/01/2008..	Paydown.....		..25,71125,71125,71325,7070303025,711000825	..08/01/2021..1.....
31410X-VR-4..	FNMA Pool 900724 (MBS) 5.500% 08/01/21..		..08/01/2008..	Paydown.....		..16,78816,78816,78916,7860202016,788000616	..08/01/2021..1.....
31410X-VR-4..	FNMA Pool 900724 (MBS) 5.500% 08/01/21..		..09/01/2008..	Paydown.....		..12,45812,45812,45912,4570202012,458000514	..08/01/2021..1.....
31413H-7H-5..	FNMA Pool 946496 MBS 6.000% 09/01/22..		..07/01/2008..	Paydown.....		..45,28145,28145,92445,9100(.630)0(.630)045,2810001,585	..09/01/2022..1.....
31413H-7H-5..	FNMA Pool 946496 MBS 6.000% 09/01/22..		..08/01/2008..	Paydown.....		..21,26921,26921,57221,5650(.296)0(.296)021,269000851	..09/01/2022..1.....
31413H-7H-5..	FNMA Pool 946496 MBS 6.000% 09/01/22..		..09/01/2008..	Paydown.....		..5,1575,1575,2315,2290(.72)0(.72)05,157000232	..09/01/2022..1.....
31413H-7H-5..	FNMA Pool 946496 MBS 6.000% 09/01/22..		..09/04/2008..	Bank of America Sec.....		..1,269,7401,234,2551,251,8041,251,4170332033201,251,749017,99017,99057,599	..09/01/2022..1.....
31413H-ET-1..	FNMA Pool 945746 MBS 6.000% 08/01/22..		..07/01/2008..	Paydown.....		..7,6247,6247,7317,7290(.105)0(.105)07,624000267	..08/01/2022..1.....
31413H-ET-1..	FNMA Pool 945746 MBS 6.000% 08/01/22..		..08/01/2008..	Paydown.....		..11,01511,01511,17011,1670(.151)0(.151)011,015000441	..08/01/2022..1.....
31413H-ET-1..	FNMA Pool 945746 MBS 6.000% 08/01/22..		..09/01/2008..	Paydown.....		..6,8636,8636,9596,9570(.94)0(.94)06,863000309	..08/01/2022..1.....
31413H-ET-1..	FNMA Pool 945746 MBS 6.000% 08/01/22..		..09/04/2008..	Bank of America Sec.....		..1,289,1161,253,0891,270,7111,270,3180(.644)0(.644)01,269,674019,44219,44258,477	..08/01/2022..1.....
31413J-BF-0..	FNMA Pool 946538 MBS 6.000% 09/01/22..		..07/01/2008..	Paydown.....		..43,16943,16943,76343,7500(.581)0(.581)043,1690001,511	..09/01/2022..1.....
31413J-BF-0..	FNMA Pool 946538 MBS 6.000% 09/01/22..		..08/01/2008..	Paydown.....		..44,59644,59645,20945,1950(.600)0(.600)044,5960001,784	..09/01/2022..1.....
31413J-BF-0..	FNMA Pool 946538 MBS 6.000% 09/01/22..		..09/01/2008..	Paydown.....		..6,4406,4406,5296,5270(.87)0(.87)06,440000290	..09/01/2022..1.....
31413J-BF-0..	FNMA Pool 946538 MBS 6.000% 09/01/22..		..09/04/2008..	Bank of America Sec.....		..1,227,5141,193,2091,209,6161,209,2610312031201,209,574017,94017,94055,683	..09/01/2022..1.....
31413K-BX-8..	FNMA Pool 947454 MBS 5.500% 10/01/22..		..07/01/2008..	Paydown.....		..20,50920,50920,57920,5780(.69)0(.69)020,509000658	..10/01/2022..1.....
31413K-BX-8..	FNMA Pool 947454 MBS 5.500% 10/01/22..		..08/01/2008..	Paydown.....		..10,27610,27610,31210,3110(.35)0(.35)010,276000377	..10/01/2022..1.....
31413K-BX-8..	FNMA Pool 947454 MBS 5.500% 10/01/22..		..09/01/2008..	Paydown.....		..66,86466,86467,09467,0900(.226)0(.226)066,8640002,758	..10/01/2022..1.....
31413K-BX-8..	FNMA Pool 947454 MBS 5.500% 10/01/22..		..09/04/2008..	Bank of America Sec.....		..1,446,7211,426,6591,431,5631,431,4760(.70)0(.70)01,431,406015,31615,31661,029	..10/01/2022..1.....
383739-RL-5..	GNMA 2001-6 PM (CMO) 6.500% 06/16/30..		..07/01/2008..	Paydown.....		..1,6751,6751,7231,6760(.1)0(.1)01,67500063	..12/16/2008..1.....
38373M-J2-7..	GNMA 2007-52 A CMO 4.054% 06/16/27..		..07/01/2008..	Paydown.....		..1,9131,9131,8581,86504804801,91300045	..06/16/2027..1.....
38373M-J2-7..	GNMA 2007-52 A CMO 4.054% 06/16/27..		..08/01/2008..	Paydown.....		..1,9371,9371,8811,88804904901,93700052	..06/16/2027..1.....
38373M-J2-7..	GNMA 2007-52 A CMO 4.054% 06/16/27..		..09/01/2008..	Paydown.....		..1,9461,9461,8901,89704904901,94600059	..06/16/2027..1.....
3199999 - Bonds - Special Revenues						13,548,083	13,353,042	13,391,786	13,386,972	0	813	0	813	0	13,387,785	0	160,298	160,298	569,453	XXX	XXX
06423A-AN-3..	Bank One Corp Note - Non Call 6.000% 0.....		..08/01/2008..	Maturity.....		1,000,000	1,000,000	1,016,080	1,001,641	0	(1,641)	0	(1,641)	0	1,000,000	0	0	0	60,000	..08/01/2008..	1FE
1248MB-AG-0..	Credit Based Asset Serv 2007-CB2 A2A ABS.....		..07/01/2008..	Paydown.....		31,541	31,541	31,541	31,529	0	13	0	13	0	31,541	0	0	0	1,084	..02/25/2037..	1FE
1248MB-AG-0..	Credit Based Asset Serv 2007-CB2 A2A ABS.....		..08/01/2008..	Paydown.....		43,947	43,947	43,946	43,929	0	18	0	18	0	43,947	0	0	0	1,726	..02/25/2037..	1FE

STATEMENT AS OF SEPTEMBER 30, 2008 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Desig- nation or Market Indicator (a)
1248MB-AG-0..	Credit Based Asset Serv 2007-CB2 A2A ABS.....		09/01/2008..	Paydown.....		33,449	33,449	33,449	33,435	0	14	0	14	0	33,449	0	0	0	1,478	02/25/2037..	1FE...
125577-AQ-9..	CIT Group Corp Note Non Call 4.750% 08.....		08/15/2008..	Maturity.....		500,000	500,000	460,365	460,365	0	39,635	0	39,635	0	500,000	0	0	0	23,750	08/15/2008..	1FE...
12628K-AA-0..	CSAB Mtg 2006-3 A1A ABS 6.000% 11/25/3.....		07/01/2008..	Paydown.....		13,121	13,121	13,120	13,088	0	33	0	33	0	13,121	0	0	0	459	11/25/2036..	1FE...
12628K-AA-0..	CSAB Mtg 2006-3 A1A ABS 6.000% 11/25/3.....		08/01/2008..	Paydown.....		15,885	15,885	15,885	15,846	0	40	0	40	0	15,885	0	0	0	635	11/25/2036..	1FE...
12628K-AA-0..	CSAB Mtg 2006-3 A1A ABS 6.000% 11/25/3.....		09/01/2008..	Paydown.....		13,019	13,019	13,018	12,986	0	33	0	33	0	13,019	0	0	0	586	11/25/2036..	1FE...
17310E-AA-6..	Citicorp Res Mtg 2006-2 A1A ABS 5.872%.....		07/01/2008..	Paydown.....		38,186	38,186	38,186	38,096	0	90	0	90	0	38,186	0	0	0	1,308	09/25/2036..	1FE...
17310E-AA-6..	Citicorp Res Mtg 2006-2 A1A ABS 5.872%.....		08/01/2008..	Paydown.....		33,406	33,406	33,405	33,327	0	78	0	78	0	33,406	0	0	0	1,308	09/25/2036..	1FE...
17310E-AA-6..	Citicorp Res Mtg 2006-2 A1A ABS 5.872%.....		09/01/2008..	Paydown.....		31,232	31,232	31,232	31,159	0	73	0	73	0	31,232	0	0	0	1,375	09/25/2036..	1FE...
24422E-QK-8..	John Deere Capital Corp Note Non Call Ad.....		09/25/2008..	Maturity.....		2,200,000	2,200,000	2,200,000	2,200,000	0	0	0	0	0	2,200,000	0	0	0	59,800	09/25/2008..	1FE...
34527R-KX-0..	Ford Credit 2005-B A4 ABS 4.380% 01/15.....		07/15/2008..	Paydown.....		65,503	65,503	64,919	65,137	0	366	0	366	0	65,503	0	0	0	1,674	01/15/2010..	1FE...
34527R-KX-0..	Ford Credit 2005-B A4 ABS 4.380% 01/15.....		08/15/2008..	Paydown.....		63,259	63,259	62,695	62,906	0	353	0	353	0	63,259	0	0	0	1,847	01/15/2010..	1FE...
34527R-KX-0..	Ford Credit 2005-B A4 ABS 4.380% 01/15.....		09/15/2008..	Paydown.....		54,384	54,384	53,900	54,081	0	304	0	304	0	54,384	0	0	0	1,787	01/15/2010..	1FE...
38143U-AA-9..	Goldman Sachs Corporate Note Non Callabl.....		09/19/2008..	CitiGroup.....		981,100	1,000,000	978,930	985,303	0	10,286	0	10,286	0	995,589	0	(14,489)	(14,489)	46,177	01/15/2009..	1FE...
44920N-AB-0..	Hyundai Auto Rec 2007-A A2A ABS 5.110%.....		07/15/2008..	Paydown.....		249,904	249,904	249,904	249,903	0	1	0	1	0	249,904	0	0	0	7,449	01/15/2010..	1FE...
44920N-AB-0..	Hyundai Auto Rec 2007-A A2A ABS 5.110%.....		08/15/2008..	Paydown.....		247,980	247,980	247,980	247,979	0	1	0	1	0	247,980	0	0	0	8,448	01/15/2010..	1FE...
44920N-AB-0..	Hyundai Auto Rec 2007-A A2A ABS 5.110%.....		09/15/2008..	Paydown.....		246,644	246,644	246,644	246,643	0	1	0	1	0	246,644	0	0	0	9,453	01/15/2010..	1FE...
466302-AA-4..	JP Morgan Chase 2006-S4 A1A CMO 5.440%.....		07/25/2008..	Paydown.....		25,718	25,718	25,717	25,717	0	1	0	1	0	25,718	0	0	0	816	12/25/2036..	1FE...
466302-AA-4..	JP Morgan Chase 2006-S4 A1A CMO 5.440%.....		08/25/2008..	Paydown.....		28,604	28,604	28,603	28,604	0	1	0	1	0	28,604	0	0	0	1,037	12/25/2036..	1FE...
466302-AA-4..	JP Morgan Chase 2006-S4 A1A CMO 5.440%.....		09/25/2008..	Paydown.....		37,466	37,466	37,465	37,466	0	1	0	1	0	37,466	0	0	0	1,529	12/25/2036..	1FE...
46630L-AA-2..	JP Morgan Mtg 2007-CH1 AF1A ABS 3.287%.....		07/25/2008..	Paydown.....		13,688	13,688	13,688	13,688	0	0	0	0	0	13,688	0	0	0	257	11/25/2036..	1FE...
46630L-AA-2..	JP Morgan Mtg 2007-CH1 AF1A ABS 3.287%.....		08/25/2008..	Paydown.....		13,786	13,786	13,786	13,786	0	0	0	0	0	13,786	0	0	0	289	11/25/2036..	1FE...
46630L-AA-2..	JP Morgan Mtg 2007-CH1 AF1A ABS 3.287%.....		09/25/2008..	Paydown.....		8,874	8,874	8,874	8,874	0	0	0	0	0	8,874	0	0	0	206	11/25/2036..	1FE...
52517P-XT-3..	Lehman Brothers Corporate Note 3.950%.....		09/15/2008..	JP Morgan Chase.....		106,500	300,000	290,214	290,836	0	3,410	0	3,410	0	294,246	0	(187,746)	(187,746)	5,925	11/10/2009..	1FE...
61744A-AN-0..	Morgan Stanley Corporate Note Non Callab.....		09/24/2008..	Morgan Stanley.....		1,680,000	2,000,000	1,427,300	1,999,918	0	8,657	572,642	(563,985)	0	1,435,933	0	244,067	244,067	74,139	05/15/2010..	1FE...
61750W-AR-4..	Morgan Stanley Cap 2006- 1Q12 A1 CMO COMM.....		07/01/2008..	Paydown.....		8,085	8,085	8,105	8,097	0	(12)	0	(12)	0	8,085	0	0	0	248	10/15/2011..	1FE...
61750W-AR-4..	Morgan Stanley Cap 2006- 1Q12 A1 CMO COMM.....		08/01/2008..	Paydown.....		7,034	7,034	7,051	7,044	0	(10)	0	(10)	0	7,034	0	0	0	246	10/15/2011..	1FE...
61750W-AR-4..	Morgan Stanley Cap 2006- 1Q12 A1 CMO COMM.....		09/01/2008..	Paydown.....		7,070	7,070	7,088	7,081	0	(11)	0	(11)	0	7,070	0	0	0	279	10/15/2011..	1FE...
75970H-AD-2..	Renaissance Home Equity 2006-4 AF1 ABS.....		07/01/2008..	Paydown.....		28,742	28,742	28,742	28,742	0	0	0	0	0	28,742	0	0	0	930	01/25/2037..	1FE...
75970H-AD-2..	Renaissance Home Equity 2006-4 AF1 ABS.....		08/01/2008..	Paydown.....		34,482	34,482	34,482	34,482	0	0	0	0	0	34,482	0	0	0	1,275	01/25/2037..	1FE...
75970H-AD-2..	Renaissance Home Equity 2006-4 AF1 ABS.....		09/01/2008..	Paydown.....		22,891	22,891	22,891	22,891	0	0	0	0	0	22,891	0	0	0	952	01/25/2037..	1FE...
75971E-AE-6..	Renaissance Home Equity 2006-3 AF2 (ABS).....		07/01/2008..	Paydown.....		31,568	31,568	31,568	31,499	0	69	0	69	0	31,568	0	0	0	1,028	11/25/2036..	1FE...
75971E-AE-6..	Renaissance Home Equity 2006-3 AF2 (ABS).....		08/01/2008..	Paydown.....		36,706	36,706	36,706	36,626	0	81	0	81	0	36,706	0	0	0	1,366	11/25/2036..	1FE...
75971E-AE-6..	Renaissance Home Equity 2006-3 AF2 (ABS).....		09/01/2008..	Paydown.....		30,089	30,089	30,089	30,023	0	66	0	66	0	30,089	0	0	0	1,260	11/25/2036..	1FE...

E05.5

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

E09

E09

E09

E09